

Agency: Commerce, Community and Economic Development**Grants to Named Recipients (AS 37.05.316)****Grant Recipient: Newtok Traditional Council****Federal Tax ID: 92-0074328****Project Title:****Project Type: Equipment and Materials**

Newtok Traditional Council - Mertarvik Evacuation Road Construction

State Funding Requested: \$5,000,000**House District: 38 / S**

Future Funding May Be Requested

Brief Project Description:

Funds will allow Newtok Traditional Council to continue working with the Pentagon's Innovative Readiness Training Program (IRT) to develop the Mertarvik relocation site.

Funding Plan:

Total Project Cost:	\$13,456,133
Funding Already Secured:	(\$2,500,000)
FY2013 State Funding Request:	<u>(\$5,000,000)</u>
Project Deficit:	\$5,956,133

Funding Details:

FY12 \$2,500,000

FY11 \$4,000,000

FY10 \$2,000,000

Detailed Project Description and Justification:

In 2008, Newtok Traditional Council engaged the commitment of the Department of Defense Innovative Readiness Training (IRT) program to assist the Tribe relocate to Mertarvik site, Nelson Island. The IRT provides services to American communities in need while providing military training to the US Armed Forces. The IRT has committed to providing assistance to Newtok's development of a new village at Mertarvik for a five year period -- with FY2013 and FY2014 remaining.

Funds will cover purchase of rock quarry equipment for the IRT to construct access road to and a pioneer airport, a crane for construction of the Mertarvik Evacuation Shelter, double hull fuel tanks, diesel generators, pioneer home construction, and other items.

The next phase is to develop the rock quarry; the equipment will allow Pentagon IRT personnel to use it and develop the access road to and pioneer airport.

- FOB Merarvik site:

1 New Case 1850L LGP wide pad dozer with cab \$ 428,500

1 New Case 470B Excavator w/2 buckets & thumb \$ 578,330

1 New Case 1021 F Loader with 5 yd buckets & 8' Forks \$ 453,890

1 New Powerscreen Md 800 Power Grid\$ 167,958

\$1,778,678

- 2012 Tadano GR-350XL-2 with the following features:

- 35 Ton US Capacity

- FOB Tacoma, WA \$ 425,000

Estimated Freight \$ 96,322

2 (two) 45 kw Detroit Diesel generators \$ 300,000 (est)

1 - 120 kw stationary Detroit Diesel generators

- Additional Gravel and/or source development - \$ 800,000

Additional gravel is needed for access road to pioneer airport and pioneer airport. This funding will be used to either barge additional gravel into Mertarvik or will be used to help support quarry development which will provide the required gravel.

- Double Hull Fuel Tank Farm for generators \$ 700,000

- On-going engineering and environmental permitting \$ 150,000

This will leverage funding from the US Army Corp of Engineers (USACE) Planning Assistance to the States program. The USACE will pay 50% of all planning and environmental planning costs. Environmental permits are required yearly.

- Evacuation Shelter Interior Finish \$ 750,000

The additional funding is required now that preliminary engineering, architectural, and geotechnical studies have been completed.

Project Timeline:

June 2012-February 2013 Construction of Mertarvik Evacuation Center-expenditures occur March 2012

July 2012-August 2012 Purchase of rock quarry equipment, crane, generators,fuel tanks

June 2013-August 2013 Construction of road to quarry & pioneer airport

June 2013-August 2013 Completion of Evacuation Center interior finish, mechanical/electrical

Entity Responsible for the Ongoing Operation and Maintenance of this Project:

Newtok Traditional Council

Grant Recipient Contact Information:

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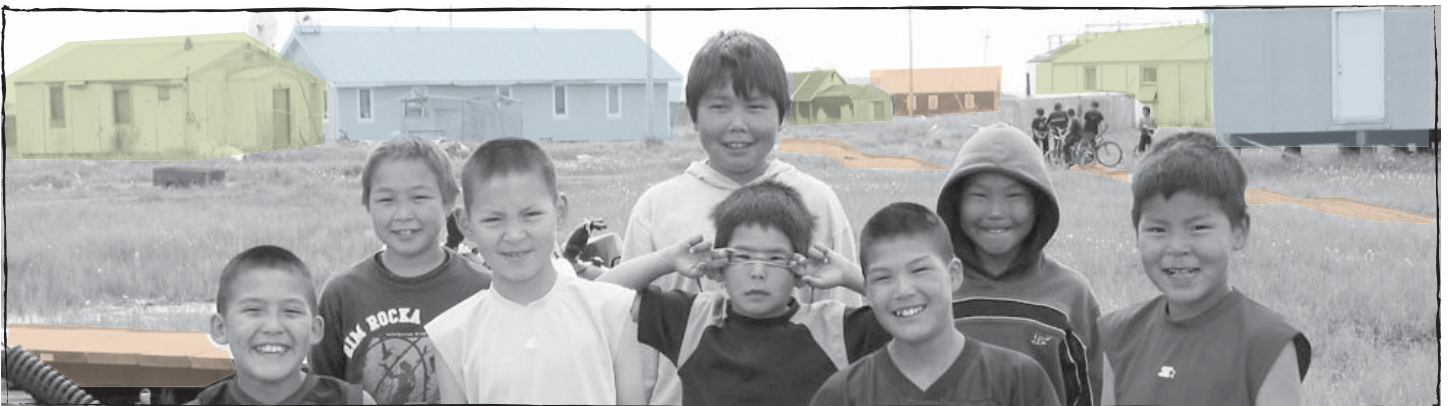
Has this project been through a public review process at the local level and is it a community priority? ☒ Yes ☐ No



MERTARVIK

**Nunaullemteggun ikayuqulluta tamamta,
assirluta aknirtenritellerkamtenun,
nuggtarllemtenun ciunerkamteni**

*a community that builds together
for the safe and healthy future of Newtok*



Relocation Report :: Newtok to Mertarvik

RELOCATION REPORT:: Newtok to Mertarvik FINAL DRAFT ISSUED FOR REVIEW

by the Community of Newtok and the Newtok Planning Group, August 2011.

Prepared by by Agnew::Beck Consulting with PDC Engineers and USKH Inc.

for the State of Alaska

Department of Commerce, Community, and Economic Development (DCCED)

Division of Community and Regional Affairs.

This report is funded with qualified outer continental shelf oil and gas revenues by the Coastal Impact Assistance Program, Bureau of Ocean Energy Management, Regulation, and Enforcement, U.S. Department of the Interior.

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**With special acknowledgement to
the Newtok Community and the Newtok Planning Group**

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Cover photo and above photo of Newtok children by PDC Engineers.
Report photos by Agnew::Beck Consulting, or PDC Engineers unless otherwise noted.

Executive Summary

This report presents work to date towards the development of a Strategic Management Plan (SMP) for the relocation of the village of Newtok to a new site at Mertarvik. Newtok is a growing 350-person coastal village fronting on the Ninglick River in western Alaska. The Ninglick River is rapidly eroding and consuming community land and facilities as it advances. The most recent prediction from 2007 is that the river could reach the school by 2017 and several houses in between even sooner.

In general, this project's scope of work spans two phases. Phase 1, the focus of this report, covers the identification of issues, review of baseline data and the preparation of background planning information. Phase 2 focuses on the development of the guiding principles and overall framework for relocation and the production of a SMP, which will be issued later this year. However, this Phase 1 report previews the beginnings of the SMP, hence the title Relocation Report.

Before summarizing this report's main conclusions, it is important to pause and examine the broader questions raised by the situation facing Newtok.

Erosion, Flooding, Thawing Permafrost and the Impact of Climate Change

A growing body of evidence indicates that climate change is a contributing factor to the erosion threatening many Alaskan communities. In addition to Newtok, five other rural Alaskan communities (Kivalina, Koyukuk, Shaktoolik, Shismaref, and Unalakleet) face "imminent threats of loss of life, loss of infrastructure, loss of public and private property, or health epidemics caused by coastal erosion, thawing permafrost and flooding," according to a 2009 recommendations report by the Immediate Action Workgroup, an advisory group of the Climate Change Sub-Cabinet, which advises the State of Alaska's Office of the Governor.

More than 150 predominantly Alaska Native communities face some potential impacts by climate change. (Communities are listed in the 2008 Governor's Report on the Climate Change Sub-Cabinet.)

- The broad question: How can the work accomplished to date on climate change in Alaska be used to assist Newtok with their relocation efforts?



Governmental Coordination

Responding to the issues of imminently threatened communities requires the coordination of a complex network of organizations, agencies and elected officials. While the agencies and individuals working on this project have done a remarkable job of coordinating their efforts, this success is more testimony to skills at side-stepping bureaucratic constraints than evidence of a well-organized government structure. As was described by Larry Hartig, Commissioner of the Alaska Department of Environmental Conservation, with regard to climate change: “We are constructing the track as we go. We’re trying to find the track and putting it in front of the train.” Lack of a cohesive national and state framework for addressing the issues of imminently threatened villages places the burden on these communities to juggle agencies and funding streams and cobble together solutions to problems that literally threaten the survival of whole communities.

- The broad questions: How can the state and federal government most efficiently and effectively work together to respond to the needs of communities threatened by erosion, flooding, thawing permafrost and climate change-related impacts?
- What sources of technical skill, permitting expertise and funding are available to assist communities hardest hit by these issues and how can they be organized in an efficient and effective way to systematically prioritize and address these issues?

Rural Transformation

Over the last several decades, many rural Alaska villages developed with little thought about economic development and the long-term costs for energy, transportation, water and sewer, freight delivery, air access, and other community infrastructure. In many ways, Newtok’s relocation to Mertarvik presents a unique opportunity to create a new model for a sustainable Alaska Native Village based on current technology and the lessons learned from past decades of community development activity. The challenge is creating and pursuing this vision while respecting the urgency of the situation that Newtok faces and the potential repercussions of not moving quickly enough. The key to developing a successful plan for the relocation is striking a balance between these two at times competing pressures. The risk of focusing solely on expediency is recreating Metarvik in the image of the unsustainable communities that have been the result of well-intended agency involvement for 50 years. The benefits of balancing urgency with the creation of a new model for rural sustainability would be realized for years to come by Newtok’s future generations and serve as an example for other communities in the state. While climate change is an extremely serious problem, it could have the positive impact of forcing a new paradigm in community sustainability.

- The broad question: Can this current challenge be a catalyst that helps communities become more self-sufficient and prosperous even as the costs of energy, access and construction continue to rise and options for external funding continue to decline?

Below is a summary of this report’s major conclusions and some initial insights into the questions above.

Importance of Local Leadership and External Support

“One thing that we gain from pioneering is continuing and honoring our values. If we rely on the western society’s way of life, that’s forgetting who I am. We need to go back to our way of life. We have to start somewhere.” – Newtok Traditional Council Member

The relocation process will not succeed without support and leadership both within and outside the community. As noted in the report: “In many ways, Newtok has compensated for the lack of a single lead agency, serving as both the glue and the director of efforts to date.” Closely tied to this conclusion is the need to bridge cultural and language gaps and fully engage the community in decision-making processes from the start. This is essential to “getting it right” and saves time, money, and effort.

Importance of “Pioneering” and Incremental Progress

Rather than aiming for a wholesale move of the entire village, the move from Newtok to Mertarvik will occur over a period of time through a process that is more incremental and organic in nature. With initial infrastructure in place, the “pioneering” phase will begin. During the pioneering phase, a few families will make the initial move before basic services are in place to establish a new community. The village views pioneering as an opportunity to educate its young people about the core values and traditional ways of life that define the people of Newtok: “One thing that we gain from pioneering is continuing and honoring our values. If we rely on the western society’s way of life, that’s forgetting who I am. We need to go back to our way of life. We have to start somewhere.” The Newtok Traditional Council hopes that seeing family and friends successfully accomplish the transition from Newtok to Mertarvik will inspire and motivate others to move and, in doing so, boost funder confidence for needed infrastructure and services.

Minimal Environmental Impacts and Regulatory Requirements

The relocation of an entire village could easily become permanently stuck in a tangle of environmental review and permits. While the move to Mertarvik raises environmental issues that require careful attention and a range of associated agency approvals, the work of the Newtok Planning Group (NPG) has kept this process from crippling the relocation. Key to this success has been selection of the right site, and proactive engagement with environmental and permitting agencies. Removing regulatory barriers under the right circumstances would reduce the cost and time associated with relocating villages facing imminent threats to health, safety and property due to climate change.

Matching Needs to a Realistic View of Available Resources

A successful move will require substantial capital investments coupled with a frugal, cost-saving approach that harkens back to Alaska’s traditions of hard work and ingenuity. Newtok has found that capital improvements require both legislative and

traditional agency support. According to one NPG member we interviewed, “Seed money from the legislature has been key for getting Mertarvik moving. Agencies could not have funded the ground laying work ... The key to relocation is figuring out how to tap every agency’s traditional funding sources that can be tapped.” As work at Mertarvik moves beyond setting in place initial infrastructure, more agencies are likely to become involved. Housing will drive relocation time lines. Determining how to meet the housing need is the biggest challenge the community faces.

Need for a Widely Supported, Community-based Strategic Management Plan

Newtok’s experience shows that although relocating a village takes time, it can be done. Essential to completing this process is a clear, widely supported strategic management plan – which is the goal of this project. We learned from the Newtok Traditional Council and community members during our recent site visit, how the process of relocating a village can strengthen a community’s relationships and core values. The process can enhance the skills and capacity of residents and spark a return to the subsistence lifestyle that is so important to the past and the future of Newtok’s people and culture. We also heard a plan for how the community will drive the relocation efforts and define its own destiny. In the words of one Traditional Council member: “The erosion isn’t waiting for any one agency – we need to start the pioneering.”

Relocation Phases

As outlined in more detail in the report, while there has been good progress to date, much more remains to be done. Relocation efforts are expected to span four phases:

1. Uplluteng “Getting Ready”

Village population 0

2. Upagluteng “Pioneering”

Upagluteng refers to the traditional practice of moving with the seasons; village population ~25 to 100

3. Nass’paluteng “Transition”

Nass’paluteng refers to periods of transition; village population > 100 people

4: Piciurlluni “Final Stage”

Piciurlluni means “We made it!” in Yup’ik; village population 350 or more

This report marks another small but important step in the process leading to a successful and sustainable relocation of Newtok. We hope the information contained here can shed helpful light on issues beyond the village of Newtok and its new home across the river in Mertarvik.



Introduction and Purpose

Background Planning Report Preliminary Strategic Management Plan



Introduction and Purpose

Since its formation in May 2006, the Newtok Planning Group (NPG) has recommended and sought funding for the development of a strategic management plan to guide the community of Newtok's relocation efforts and ensure that any

potential environmental impacts are minimized.

In 2010, the Alaska Department of Commerce, Community, and Economic Development successfully secured funding from the federal Coastal Impact Assistance Program for the creation of the Mertarvik Strategic Management Plan. In January 2011, Agnew::Beck Consulting in partnership with PDC Engineers and USKH Inc. were hired to spearhead the effort.

The primary goal of the project is to develop a Strategic Management Plan (SMP) that outlines the community's vision, guiding principles, strategies, and time lines for relocation. The SMP will guide all relocation planning from a high-level perspective to accomplish the community's vision for their new home and mitigate the potential impacts of these activities to the surrounding Yukon Delta National Wildlife Refuge. The project's scope of work spans

two phases. Phase 1 focuses on identification of issues, review of baseline data, and the production of the a Background Planning Report. Phase 2 focuses on the development of the guiding principles and overall framework for relocation and the production of a Strategic Management Plan.

During the project kickoff meeting with the NPG, the team solicited feedback about the relocation efforts to date, what was working well, what was not, what concerns existed, and what the project needed to accomplish. Since that meeting, the project team completed interviews with 36 stakeholders and topic experts, reviewed existing baseline data, held two meetings with Newtok's Traditional Council, and facilitated a community-wide meeting about the relocation (see Appendix A-1 for a list of stakeholder and expert interviews conducted and Appendix A-2 for a list of Newtok community meeting participants).

In our stakeholder interviews, it became clear that a major barrier to current planning efforts and funder confidence was lack of a shared, long-range vision and framework for the relocation efforts. Working with the community of Newtok to establish a vision, framework, and initial plan became a top priority and components of Phase 2 were folded into Phase 1 (see Table 1). The goal of the Relocation Report is to share our findings to date and therefore reflects this change in approach.



A top priority during Phase I was working with the community to develop a shared vision and framework for the relocation.

Table 1. Objectives of Phases 1 and 2

Phase 1 (Background Planning Report)	Phase 2 (Strategic Management Plan)
Assess and summarize baseline data for Mertarvik and the surrounding area.	Establish a vision and framework for the relocation efforts.
Identify major stakeholders involved with the village relocation and community development activities.	Develop a plan that outlines a clear strategy for the relocation of the Newtok community to Mertarvik.
Document stakeholder issues and develop goals and objectives for the relocation process.	Establish construction windows to reduce environmental impacts.
Develop a preliminary schedule for the sequencing of pioneer infrastructure.	Identify sequencing and interdependencies between bodies of work, as well as opportunities for efficiencies and cost-sharing.
	Research and document funding options.

- Darker shading refers to completed objectives.
- Lighter shading refers to significant progress made on objectives.

The first section, “Background Planning Report,” provides an overview of the context for the relocation, describes the accomplishments to date (summarizing investments, challenges and lessons learned), and reports out on two key questions posed to the team during the project kickoff session: What population thresholds must be met to trigger funding for an airport, a school, and mail service and why is “pioneering” or the movement and establishment of an initial population at Mertarvik important? This section also outlines environmental considerations, identifies construction windows and highlights important permitting requirements.

The second section of this report, “Preliminary Strategic Management Plan,” includes drafts of several key elements of the SMP to be produced in Phase 2. The expedited production of these pivotal pieces will provide the community and stakeholders with more time to study, discuss, identify gaps, assess the feasibility of, and ultimately create a SMP that is embraced by the community, its many advocates and supporters, and federal and state agencies.



Newtok's experience to date shows that although relocating a village takes time, it can be done.

Erosion
projections
(last updated in
2007) indicate
that the river
could reach the
school by 2017.



Newtok's experience to date shows that although relocating a village takes time, it is doable. We learned from the Newtok Traditional Council and community members during our recent site visit how the process of relocating a village can strengthen a community's relationships and core values, enhance the skills and capacity of its people, and spark a return to the subsistence lifestyle that is so important to the past and the future of Newtok's people and culture. We also heard a plan for how the community will drive the relocation efforts and define its own destiny. In the words of one Traditional Council member: "The erosion isn't waiting for any one agency – we need to start the pioneering."



Introduction and Purpose **Background Planning Report** Preliminary Strategic Management Plan



Background Planning Report

The Urgency of Relocation

Newtok is a Yup'ik Eskimo village located on the Yukon-Kuskokwim Delta along the western coast of Alaska, near the confluence of the Newtok and Ninglick Rivers. While some rural Alaska villages are experiencing population declines, Newtok is growing. Based on the 2010 Census, the current population of Newtok is 354 (compared to the 2000 Census population count of 321).¹ Nearly half (47.2 percent) of the population is 17 years of age or younger and the median age is 20.2 years old. Alaska Natives represent 96 percent of the population. Residents young and old speak Yup'ik and maintain a traditional subsistence lifestyle and local transportation is limited to snowmachines, all-terrain vehicles and boats.

Newtok's population is growing. Photo by Carolyn George.



The community's health and safety are currently threatened by severe coastal erosion and flooding. The Ninglick River, which is tidally influenced and connects Baird Inlet from the Bering Sea, is eroding toward the village at an average pace of 72 feet per year (with an observed rate of up to 300 feet in one year) and has been moving toward the village for decades.² In 1996, the dump site was lost to erosion and the barge landing was lost to erosion in 2005.³ The current dump site is only accessible at high tide and all incoming goods

must now be shipped by air, an extremely costly endeavor. Erosion projections (last updated in 2007) indicate that the river could reach the school by 2017.⁴

It was the capture of the Newtok River by the Ninglick River in 1996 though that has had the most dramatic impact on livability of the current village. Nearly overnight, the village became more susceptible to storm surges on the Ninglick River due to the direct hydrologic connection. The Newtok River, which runs along side the village, turned from a free flowing river into a slough. When the slough silted in, commercial vessels could no longer navigate to the village and honey bucket waste dumped into the Newtok River, which would previously flow out with the tides, stopped flowing away and began to simply move back and forth with the tides.

These changes, which are likely exacerbated by climate change and melting permafrost, have increased the frequency and severity of flooding in Newtok during the last decade. According to local residents, the coastal storm season has become longer in recent years, "September and November is typically the storm period but now we are seeing storms as early as mid-July."

1. U.S. Census Bureau (2010). *Newtok CDP, Alaska: Profile of General Population and Housing Characteristics*. Retrieved in May 2011 from: <http://factfinder2.census.gov/>.

2. U.S. Army Corps of Engineers, Alaska District. July 2008. *Revised Environmental Assessment and Finding of No Significant Impact: Newtok Evacuation Center. Mertarvik, Nelson Island, Alaska*.

3. U.S. Army Corps of Engineers, Alaska District. 2008. *Section 117 Project Fact Sheet: Storm Damage Reduction Project, Newtok, Alaska*. Retrieved in June 2011 from: http://www.commerce.state.ak.us/dca/planning/pub/Newtok_Sec_117.pdf.

4. Arctic Slope Consulting Group (ASCG), Inc. October 2007. As cited in US Army Corps of Engineers, Alaska District. July 2008. *Revised Environmental Assessment and Finding of No Significant Impact: Newtok Evacuation Center. Mertarvik, Nelson Island, Alaska*.

A powerful storm surge can raise tide levels 10 to 15 feet above normal and severe flood events, such as the 20-year flood of 2005 and the lesser flood of 2006, permeate the village water supply, spread contaminated waters through the community, displace residents from homes, destroy subsistence food storage, and shut down essential utilities.⁵

The U.S. Army Corps of Engineers (USACE) predicts that the 50-year flood would flood almost the entire community.⁶ High ground is limited and high ground with shelter sufficient to house the community is non-existent. Flood waters wash honey bucket waste from the Newtok River back into the village while the risk of permanent salination of the community's drinking water source potentially poses the most immediate threat to the community.

In 1994, after more than two decades of studies and analysis, the community contemplated their options and made the difficult decision to relocate. This is not the first time the community has had to relocate. In 1949, the village moved from Old Kealavik to the current site to avoid flooding and find suitable ground for a new school. The current site marks the farthest point up river that the barge carrying materials for a Bureau of Indian Affairs school could reach. Understandably, the community views the move to Mertarvik as their final move. As such, the community has carefully selected the site of their new home with conviction and determination to never move again. In the words of one Traditional Council member, "This will be our final move. Mertarvik is going to be a lifetime permanent location, higher ground with rock underneath."

The decision to move has had ramifications. In the years since the decision was made, Newtok has seen broad disinvestment from federal and state agencies. This disinvestment was driven by a desire not to waste funds improving and maintaining infrastructure in the existing village when the community intends to move. However, many agencies have since reassessed this policy as evidence suggests that disinvestment has led to poor living conditions and serious public health issues. As an example, Indian Health Service (IHS) regranted \$1.2 million in 2010 for needed sanitation improvements in Newtok. Alaska Department of Environmental Conservation, Village Safe Water Program, as the lead agency, is managing the project that will establish a safe and year round drinking water supply and improve sanitation practices within the existing community.

Between 1994 and 2004, 29 percent of infants were hospitalized with Lower Respiratory Tract Infections, including pneumonia and respiratory syncytial virus (RSV), attributing Newtok with one of the highest rate of lower respiratory tract infections in the state.⁷ Public health professionals conducting an assessment of

5. U.S. Army Corps of Engineers, Alaska District. 2008. Section 117 Project Fact Sheet: Storm Damage Reduction Project, Newtok, Alaska. Retrieved in June 2011 from: http://www.commerce.state.ak.us/dca/planning/pub/Newtok_Sec_117.pdf.

6. U.S. Army Corps of Engineers, Alaska District. July 2008. *Revised Environmental Assessment and Finding of No Significant Impact: Newtok Evacuation Center, Mertarvik, Nelson Island, Alaska.*

7. Troy Ritter, REHS, MPH, DAAS; Mark Stafford, PE, RS; Jennifer Dobson; Suzanne Edelman, BS, MS, September 2006. *Environmental Public Health Assessment: Newtok, Alaska.* Report cited with

The community's health and safety are currently threatened by impacts of severe coastal erosion and flooding.



public health conditions in Newtok in 2006 found that sanitation conditions, which include inadequate potable water for drinking and hygiene, high levels of contamination from honey bucket waste, and household overcrowding, were “grossly inadequate to protect public health.” The team concluded that existing conditions “appear(ed) to result from an initial lack of infrastructure development and failure

to properly maintain existing infrastructure.”⁸ Perhaps tellingly, when residents talk of Mertarvik and what life will be like there, they talk about the availability of clean drinking water. Appropriately, Mertarvik means “getting water from the spring.”

Recognizing the need to sustain and ensure quality of life for Newtok residents during the transition years as the community works toward full relocation, the SMP will address both the building and populating of Mertarvik and the necessary maintenance and closure of Newtok.

Bearing the weight of the conditions and challenges outlined above, community leaders have made daily progress toward the relocation, the timing of which has now collided with a national economic crisis and federal and state funding environments that are ever-shrinking and ever more competitive. The community of Newtok understands that a successful relocation to Mertarvik is dependent upon residents themselves driving the relocation efforts.

Accomplishments to Date

Background

One of the first and most critical accomplishments of the relocation effort was the selection of a site that would be the new home for Newtok residents. After weighing the decision and several locations for the future townsite, the community confirmed the selection of Mertarvik, a site located nine miles from the existing village on Nelson Island. Through the 1960s, residents spent summers in fish camps on Nelson Island while wintering in Newtok. Positive geotechnical findings and the community's roots on Nelson Island made Mertarvik a compelling choice. In 1996, the Newtok Native Corporation passed a resolution authorizing the negotiation of a land exchange with USFWS. On November 17, 2003, the land exchange was enacted and Newtok Native Corporation acquired 10,943 acres for the new townsite from the U.S. Department of the Interior (U.S. Public Law 108-129). The land exchange catapulted Newtok into new territory, the first in a line of rural Alaskan communities impacted by climate change to endeavor to relocate a village in this era.

In May 2006, the NPG convened and today the group has representatives from the community; state, federal, and regional agencies; non-governmental organizations; and the legislature (see Appendix A-3 *Newtok Planning Group Participants*). The NPG

permission from Newtok Traditional Council, who provided the health assessment report to the Newtok Planning Group.

8. Ibid.

has met regularly since its inception working across agencies to secure funding and establish a framework and strategy for pushing the relocation process forward.

During the past five years, much progress has been made on the relocation effort and much has been learned about the challenges facing communities like Newtok and the possible methods for working around these barriers. Lack of a coordinated national response to climate adaptation, the absence of a single lead agency at the state level to drive the efforts, and the reality that agencies are mandated to fund existing and not future communities are just some of the challenges the NPG faced (see Appendix A-4 for an overview of Newtok village relocation issues and challenges as identified in stakeholders interviews).

Larry Hartig, Commissioner of the Alaska Department of Environmental Conservation summarized the challenges facing Alaskan villages impacted by climate change at this year's Alaska Forum on the Environment:

'The challenge in Alaska is that we are seeing climate change happening right now ... What is immediate here is not yet happening in the lower 48 ... The biggest changes are happening in northern and western Alaska where the costs of developing solutions are high and the populations are small ... We are competing for funding with much larger communities in Louisiana. If we are going to invest \$100 million to a community with a population of 600 people, can we say they will be there in 100 years? (With regard to climate change,) we are constructing the track as we go. Trying to find the track and putting it in front of the train.'⁹

Despite these challenges, the NPG and the Immediate Action Work Group under the Governor's Sub-Cabinet on Climate Change has obtained funding for the community for the development of several initial or groundwork laying infrastructure projects at Mertarvik. The strategy has been to fund and build key infrastructure to jump-start the relocation process and trigger additional investments.

The primary objective of these initial projects, which are in various stages of development, is to protect the health and safety of Newtok residents in case of a catastrophic storm or flood event by constructing an emergency evacuation center and the necessary supporting infrastructure so Mertarvik can serve as safe place for the community. Once community members begin to move to Mertarvik, the Mertarvik Evacuation Center (MEC) will continue to provide emergency shelter for those residents still living in Newtok while also serving as a multi-use facility for residents who have relocated to Mertarvik. The ability of the MEC to serve interim functions will be invaluable to the community during the transition stages of relocation when the need for services is likely to precede appropriate facilities.

In addition to the MEC, a material site has been identified and development of a quarry is slated to begin this summer. A local source for gravel is expected to significantly reduce the cost of developing Mertarvik while also providing local jobs.

9. Alaska Forum on the Environment. 2011. Toward a Coordinated Response to Climate Adaption in Alaska. Moderator: Joel Neimeyer, Denali Commission, Panelists: Larry Hartig, Commissioner DEC, Daniel White, Alaska RISA-ACCAP, Trish Opheen, Chief of Engineering, Army Corps of Engineers, Marcia Combes, Director Alaska Operations Office, EPA, David Guess, Head of EPA's American Indian Office, based in D.C.

Projects, Investments, and Developing Community Capacity

To date, about \$27 million has been invested in Mertarvik and in needed investments in Newtok.¹⁰ The result is evident. A range of planning initiatives, baseline environmental, water, and geotechnical studies, and infrastructure projects have been completed or are currently underway at Mertarvik. Initial infrastructure projects include the construction of a barge landing, initial roads, the Mertarvik Evacuation Center (MEC), two production water wells, establishment of a construction camp, the planning stages of the future airport, and development of a local gravel source.

The Overview of Investments Table in the Appendix, outlines these contributions by funding source and shows the breadth of projects and investments in Mertarvik (see Appendix A-5 *Mertarvik Relocation Investments to Date by Funder and Type of Funding*). State of Alaska funding has accounted for approximately 31 percent of the total funding, while federal funding constitutes roughly 69 percent of the overall funding to date.¹¹ Of the total investments to date, approximately \$6.5million have come from conventional funding streams while the remainder (about \$23million) have come from non-conventional funding. Only 0.1 percent of the funding has come from non-governmental sources. Recently, the Newtok Traditional Council made the decision to form a tribal nonprofit corporation. The Mertarvik Community Development Corporation was incorporated as a nonprofit organization in the State of Alaska in August 2010. The creation of this organization makes Newtok eligible to pursue a range of promising funding opportunities for the relocation effort, including funding from private foundations, businesses, and individuals.

When asked what was working well about the efforts to date, the NPG agreed that the most important ingredient to date had been the leadership of Newtok through the Traditional Council and through Stanley Tom, the Tribal Administrator: “Stanley and the community are so engaged. They are actively leading the process, not just participating. If there is any takeaway from the last five years, it is that the community has to lead the effort.” Over the course of this effort, the community has set the direction and priorities, working closely with outside contractors to inform the design process for the MEC and develop a community layout plan, independently pursuing funding opportunities, and, most recently, building a skilled local workforce (see Appendices A-6 for Mertarvik Evacuation Center drawings and design highlights and A-7 for a copy of the *Mertarvik Community Layout Plan*). This type of leadership is what the community of Newtok has become known for.

It is perhaps the building of a skilled local workforce that most demonstrates the community’s commitment to the move. Just recently, 17 community members completed three months of training in Bethel in construction, electrical and mechanical trades. Romy Cadiente, the village postmaster, spearheaded the effort:

I was talking with Stanley one day and we were discussing the relocation and the work ahead. I thought, what are we going to do, just watch as others build our homes and buildings? No. We need to train our people. The results have been overwhelming. One young man (who completed the training) just secured a job on the Slope. His mother came to thank me with tears in her eyes. The paycheck is changing their lives and watching that group of

workers step off the plane in Newtok with certificates in hand has changed my life. I am so happy.

Building on this momentum, Romy Cadiente has secured funding for 20 additional community members and intends to expand the training opportunities to health-related skills and certifications. Newtok residents are gaining the skills they will need to build and maintain a new community.

In addition to the development and employment of a strong local workforce, a workforce of more than 100 military men and women will receive training this summer through the U.S. Department of Defense Innovative Readiness Training Program (IRT) by working on construction projects in Mertarvik. The IRT is in its third year of a five-year commitment to assist the community of Newtok in establishing the initial infrastructure required at Mertarvik.

Lessons Learned

A number of lessons have emerged from the relocation efforts made to date. The first is that local leadership is essential. In many ways, the community has compensated for the lack of a single lead agency, serving as both the glue and the director of efforts to date. The community's ability and willingness to drive future efforts could not be more critical. Agency missions, cultures, and rules can make needed collaboration difficult. While the collaboration between and across state and federal agencies has at times been challenging, it has also been remarkable. The NPG has set a precedent for groups on how multiple agencies with multiple barriers can cooperatively work together to assist a community. The NPG should also serve as a model for other villages that pursue relocation as a strategy for maintaining the integrity and culture of their community while adapting to climate change.

From a capital funding standpoint, Newtok has found that it takes both legislative and traditional agency support. According to one NPG member we interviewed, "Seed money from the legislature has been key for getting Mertarvik moving. To get to where we are now, we needed the legislature. Agencies could not have funded the ground laying work." Leveraging traditional funding agency streams and working across agencies to determine how to achieve the best funding results has been critical to securing funds for the relocation efforts: "The key to relocation is figuring out how to tap every agency's traditional funding sources that can be tapped. We knew the MEC would not fare well if it went through the Department of Transportation (DOT) because that was not a mission critical project compared to other DOT projects. Which agency is best suited to make the request differs by project." As work at Mertarvik moves beyond pioneer infrastructure, more agencies will become involved.

The relocation planning efforts have reached a point where a SMP is essential for gaining the outside support needed to move forward before Newtok has completely



This aerial view of Mertarvik shows the significant progress made to date, June 2011. Photo by Harvey Smith.

eroded away. The SMP will serve as a road map and guide for all agencies working at Mertarvik through every phase of relocation. The SMP will clearly communicate the community's vision and goals, the work to be completed during each phase of the relocation, and the sequence for major bodies of work. It will also help funders, public and private, understand how and when they can invest.

At the ground level, project managers have learned that the cost of mobilizing resources for initial infrastructure is far greater than originally assumed. According to Mike Coffey, Chief of Statewide Maintenance and Operations at the State of Alaska Department of Transportation and Public Facilities (AKDOT + PF), "While mobilizing resources for a project in rural Alaska typically adds 10 percent to the overall cost of a project, mobilizing and demobilizing a camp and construction supplies for Mertarvik (which because of its remote and undeveloped state requires bringing in everything you need for the project, as well as basic supplies such water, food, and tents for lodging) can add 35 percent or more to the construction costs of a project." The MEC project is currently facing a funding gap, in part due to the unexpectedly high cost of mobilization.

Regulatory barriers can also raise the cost and potentially extend the time lines of projects. For example, the original concept design for the MEC was completed by Cold Climate Housing Research Center in coordination with the community and was intended to be an in-ground structure. Ensuring compliance with State construction codes significantly impacted the design and, therefore, the overall cost of the MEC. A regulatory barrier with broader implications to the relocation effort is compliance with the National Environmental Policy Act (NEPA). The late Senator Ted Stevens recognized that NEPA would pose undue time delays and costs and sought a NEPA exception from Congress for Mertarvik; unfortunately, that request was not granted. USACE has taken the lead in drafting the Environmental Assessments that have covered the work at Mertarvik to date (see Table 2). The following section discusses NEPA and other permitting requirements.

Finally, finding ways to meaningfully engage the community in decision-making processes from the start is essential to "getting it right" and can save time, money, and effort. Meaningful engagement requires bridging the cultural and language gaps that exists between villagers and outsiders to ensure communications are clearly transmitted and truly understood by all parties. For instance, when weighing where exactly to locate the town center, a topographical map of the future site cannot replace a visit to Mertarvik with a group of elders and community leaders. When meeting with the community to discuss the Strategic Management Plan, we found that the use of images was far more effective at quickly communicating our findings and ideas and establishing a common basis for further discussion than translated words or traditional project planning tools.

Population Thresholds and Pioneering

This section of the Background Planning Report addresses two key issues raised during the project kickoff meeting with the NPG and in subsequent interviews with different stakeholder groups. First is the issue and belief that certain population

thresholds must be met for federal and state agencies to provide key community services and/or invest in community facilities at Mertarvik. The pioneering concept was coupled with this concern and discussed as a potential solution toward meeting necessary thresholds. From the start of the project it was clear that broad concern and varying perspectives and information existed on these issues.

Specifically, stakeholders were concerned with potential airport, school, and post office thresholds. Hearing this concern and recognizing the need to clarify population requirements, the project team set out on a fact finding mission. Table 2 provides an overview of what we learned. As shown, of the three bodies of work, only the United States Postal Service (USPS) has a set threshold of 25 families or 75 persons before they will provide mail service to a community. This conclusion was good news to the community and helped them to think more creatively about the

Table 2. Population Thresholds for Key Community Services and Infrastructure (What we learned.)

Type of Infrastructure	Threshold for Relocation?	Concern	Action
Airport	No established policy.*	FAA expressed concerned that the development of a power source for the airport would trigger the move of the community, thus burdening FAA with the task of completing an Environmental Impact Statement for the full relocation. An Environmental Assessment may suffice if FAA can address solely the impact of the airport.	Community must demonstrate relocation is not triggered by airport by obtaining non-federal funding for energy development and/or by pioneering.
School	No established policy for school construction in new communities. (The 10 student minimum is a requirement for keeping a school open, not constructing a new school.) For schooling, the community would need to provide a place for instruction and for a place for the teacher to live.+	LKSD expressed concerned that some residents may decide to stay in Newtok and they are not willing to work toward building a new school in Mertarvik unless a commitment is made to close the existing school in Newtok at the conclusion of the move.	Unanimous community commitment to move, with a clear plan for how that will happen, including shutting down the existing village and school.
Post Office	Mail service requires at least 25 families in an identifiable community with a population of approximately 75 or more (seasonal population fluxes not considered in year round numbers) and regularly scheduled transportation to the community.**	USPS is unlikely to provide funding for moving the existing post office or building a new post office.	Once the threshold is met, Newtok will need to negotiate what constitutes regularly scheduled transportation. Newtok should also consider potential locations for the future post office (possibly the MEC).

* Per Gabriel Mahns, FAA (interview conducted on 21 April 2011).

+ Per Kate McIntyre, Lower Kuskokwim School District (interview conducted on 24 February 2011) and Sam Kito, Alaska Department of Education + Early Development Facilities (interview conducted on 2 March 2011).

** Per Kathy Grosshandler, USPS Facilities Engineer (interview conducted on 21 April 2011).

“I have confidence about this early move. When I was one or two years old, my family moved. I focus on the time when people decided to move and they moved instead of relying on the agencies. I believe our sovereign way of life will be stronger – we can start a new life, a new village. We shouldn’t wait. We need to encourage ourselves to do it ourselves. The elders have said we need to change ourselves; we need to start a new beginning and new life. Leave hate and anger and put it away and start a new life.” – Newtok Traditional Council Member

The following paragraphs summarize community feedback on the importance of pioneering for the community and for their existing and potential funding partners. The community has made great strides toward identifying a strategy for the pioneering phase of the relocation process including how and when this first step should take place and who should go. Details of their initial strategy, including evaluation criteria for site and community readiness, are outlined in detail in the preliminary SMP below. The ideas informing the strategy were synthesized from two in-person meetings with the Newtok Traditional Council and from a community-wide work session during which community members gathered to learn and share ideas about the relocation process. Where possible, the direct words of Newtok residents and community leaders are included.

For the community of Newtok, pioneering represents the opportunity to establish a positive foundation for the whole relocation process. As such, the community wishes to focus on the many opportunities the relocation process will bring and less on the challenging aspects of moving. “We need to have a positive mind and believe in the process ... If we don’t move, we’re failing our people.” In the view of the community, pioneering is an opportunity to educate its young people about the core values and traditional ways of life that define the people of Newtok: “One thing that we gain from pioneering is continuing and honoring our values. If we rely on the western society’s way of life, that’s forgetting who I am. We need to go back to our way of life. We have to start somewhere.” Pioneering will be one of the first tangible positive steps that give community members living in Newtok the confidence they need as individuals and families to leave. Watching the process and seeing family and friends successfully accomplish the transition from Newtok to Mertarvik will inspire and motivate others to move. The pioneering families will also gain tremendous knowledge as they take this first step and will be able to share the lessons they learn with others along the way.

Pioneering will be the next and most critical phase of the relocation process in many ways. Working together to move the first set of families to their new homes at Mertarvik will also send a clear message to funding agencies and others that the community is serious about the move. Funders will have tangible, measureable evidence that the community is committed and dedicated to moving their community and will be more likely to fund not only next steps in the pioneering phase, but also subsequent phases of the move. Funders will have the confidence they need to commit current and/or future funds to the village relocation process. For example,

a priority for the community is to move a set of families into the three U.S Bureau of Indian Affairs (BIA) houses (built at Mertarvik by Newtok residents in 2007-2008), as well as the three houses slated to be built this summer. Initially, families will live there on a seasonal basis during the summer and winter months, returning to Newtok during the spring and fall when travel back and forth is perilous. Occupancy of these six houses will send the right message to funders that the community values these new homes and has started the pioneering process.

The next steps for the pioneering phase are to share back this summary and the initial strategy outlined in the preliminary relocation SMP that follows with the Newtok Traditional Council, refining it as necessary to solidify the community's vision, strategy, and criteria for pioneering. Using the evaluation criteria, the community will also need to work together to flesh out immediate tasks that can best prepare the site for pioneers and to select specific pioneering families to make the move. Prior to the first families moving over, a comprehensive support plan must be created and in place.



Pioneering presents an opportunity for the community to work together and return to traditional ways of life. Photo by Carolyn George.

Summary of Environmental Considerations

The following summary highlights important natural resources within the Mertarvik community site and Nelson Island as documented in several environmental studies completed in and around Mertarvik since 2006. National Environmental Policy Act (NEPA) documents for the Mertarvik Evacuation Center and associated environmental baseline studies outline anticipated impacts to protected resources from the Proposed Actions brought forth to date. Special considerations and anticipated impacts for those actions are included below where appropriate (USACE, 2005; USACE, 2008; USACE, 2011).

Fish and Wildlife

Birds and Waterfowl

The Yukon-Kuskokwim Delta is rich in bird species diversity and is home to a large number of nesting waterfowl. It is also one of the most productive areas in the world for geese. Almost 75 percent of Alaska's sandhill cranes breed on the Yukon-Kuskokwim Delta. Baird Inlet, about four miles north of Mertarvik is home to a colony of about 4,500 to 10,122 nesting pairs of Pacific black brant. This constitutes about 25 percent of the nesting black brant on the Yukon-Kuskokwim Delta. Birds and waterfowl are the principal animal group of concern for the relocation efforts.

Several waterfowl surveys have been conducted on wetlands within the area and show a variety of waterfowl use the wetlands at Mertarvik; however, area wetlands are not particularly suitable habitat for nesting waterfowl or shore birds. The community site and surrounding area are rich in crowberry tundra habitat that is utilized by emperor geese for food; however, this habitat is abundant in the area and not limited to the project site.

Bottom Line

Impacts to waterfowl habitat are anticipated to be minimal. There is potential for temporary and permanent loss of waterfowl habitat through construction of improvements and temporary construction disturbance.

Threatened and Endangered Species

The Yukon Delta National Wildlife Refuge (YDNWR) surrounds the Mertarvik community lands. While Threatened and Endangered species are known to be present within the YDNWR, they are not known to occur within the relocation area. Spectacled eiders nest in the refuge but nesting on the delta and/or within the relocation area is a rare occurrence. There are no indications that either the spectacled or the Steller's eider species nest on or near the relocation area. Small numbers of the marbled murrelet, another threatened species, may be found in the Bering and Chukchi Seas and possibly the Baird Inlet; however, this species is unlikely in the relocation area. The sea otter is not likely to occur near the relocation area. The U.S. Fish and Wildlife Service (USFWS) commented on the Environmental Document pertaining to the evacuation center that the project was not likely to impact critical habitat for these threatened species.

Bottom Line

No impacts to Threatened and Endangered species are anticipated. There are potential long-term impacts to wildlife and their habitats from increased access to the general area due to hunting and traffic. United States Fish and Wildlife Service Section 7 consultation will be conducted for any future development to ensure adverse affects are avoided.

Essential Fish Habitat

Mertarvik is between the two main streams on Nelson Island. Takikchak Creek is west of the relocation site and flows into Ninglick River, and Chakchak Creek is eight miles south of the site and flows east to Kolavinarak River. Ninglick River, Takikchak Creek and Chakchak Creek are anadromous fish streams and considered Essential Fish Habitat (EFH) important for the spawning, rearing and migration for essential fish species. Future proposed actions by DOT+PF may include development of a harbor and moorings in the Ninglick River and thus impact EFH. It is not anticipated that any future proposed actions undertaken by the community would require construction within EFH.

Bottom Line

Impacts to EFH from the community move are anticipated to be temporary and minimal in nature. Any proposed in-water work by DOT+PF as part of the harbor development could affect a small area of EFH. DOT+PF will assess impacts to EFH and propose conservation and any required mitigation measures during their permitting and review process.

Wetlands, Vegetation and Water Quality

Wetlands consist of moist to wet tundra within the majority of relocation area lands. These wetland and vegetation types are typical and widespread throughout higher ground on Nelson Island and are not unique to the site. Any improvements or ground disturbance would likely have an effect on wetland habitat. A salt marsh and freshwater spring (Mertarvik Spring) occur along the shoreline of the new community site. These high value wetlands are outside the immediate development area and would not be directly affected by community

development. There is a potential for sediment laden stormwater runoff during and post construction to degrade water quality. Best Management Practices will need to be implemented during construction, and revegetation techniques will be used to stabilize soils to avoid impacts to water quality.

Bottom Line

Temporary and permanent impacts to wetlands are anticipated as a result of community development at Mertarvik. However, these impacts are expected to be minimal through the implementation of avoidance, minimization and mitigation actions as developments plans progress. The community will work with the USACE to determine the most appropriate measures to minimize impacts.

Historic and Cultural Resources

Cultural resource surveys conducted in 2002 and 2005 identified several archaeological sites near the mouth of Takikchak Creek, but did not find any sites that would be affected by the evacuation center or associated facilities. The closest archaeological site to the community site is located about one mile northeast of the barge landing. The State Historic Preservation Officer (SHPO) concurred that no historic properties would be affected by the proposed construction of the emergency evacuation center, development of a quarry and proposed road alignments in March 2011. Future Federal actions for development at Mertarvik will be required to obtain such concurrence from the SHPO. Recent coordination efforts under Section 106 will expedite future consultations.

Bottom Line

A SHPO Finding of No Historic Properties Affected is anticipated for community development at Mertarvik.

Cumulative Impacts

Concerns about cumulative impacts associated with a community move to Mertarvik have been expressed in past environmental documents. A new community at Mertarvik will shift use to the area for berry picking, hunting, and subsistence gathering. Fishing on local streams will increase, as well as hunting for local waterfowl, ptarmigan and other birds. Surrounding wetland habitat may be damaged from increased ATV off-road use. Some of the structures and abandoned equipment at the old town site will remain after relocation to Mertarvik. Some of these areas such as the Newtok landfill, sewage lagoon and other non-movable structures could contribute to pollution of the Baird Inlet area. Coordination of clean up and restoration of the original town site will be an important component of any future development plans at Mertarvik.

Bottom Line

Cumulative impacts to protected resources from a community move to Mertarvik are anticipated. Through avoidance, minimization and mitigation plans, including preservation of wetlands and clean up of the old village site, significant cumulative impacts are not anticipated.

Construction Windows

The following table outlines time frames where environmental constraints/permitting requirements would prevent certain construction activities. Certain activities such as site preparation and clearing should happen in early spring or late fall to use the full summer construction season without timing constraints. Building pads if placed in the summer months would facilitate movement of homes and other structures during the winter months when the ground is stable and travel over wetlands results in less impact. Table 3 highlights activities that cannot take place during certain time frames to protect sensitive species.


Important environmental consideration and construction timing windows:

- The USFWS recommends no fill or clearing activities take place within undisturbed tundra between May 5 and July 25 to protect nesting migratory birds. The regulation states that migratory birds may not be “taken.” This requirement can be met by clearing potential nesting habitat before the nesting season or by conducting a nesting survey of construction areas just ahead of construction, with further monitoring during construction to prevent birds from nesting. USFWS should be consulted prior to construction to get any recommended revisions to this window.
- Additional consideration may be required in the fall to avoid impacts to emperor geese that may feed on crowberry tundra habitat in the area. Consultation with USFWS should take place to determine recommended construction windows. Note that USFWS input is advisory, not mandatory, but project proponents could be exposed to legal action if geese were “taken” during construction.

Table 3. Environmental Construction Windows for Mertarvik.

Activity	Work Window											
	Jan.	Feb.	Mar.	April	May	June	July	Aug.	Sept.	Oct.	Nov.	Dec.
Clearing, Site Preparation+												
Materials Excavation, Stockpiling within previously disturbed areas												
Construction of Building Pads, placement of fill*												
Utility installation, vertical construction												
Revegetation, restoration of disturbed areas												
Relocation of Structures**												

Shaded areas depict time frames where no future work of that type should occur.

 Orange indicates a work window to protect nesting birds.

 Gray indicates a potential work window to protect Emperor Geese during an important feeding time period.

+Site preparation includes ground disturbing activities or disturbances.

*Construction of buildings pads/relocation of buildings could commence within no work window if site preparation has been previously completed and it is known that no nesting birds are present.

Permitting Considerations

Table 4 lists recommended consultations and required permits and clearances from different regulatory agencies and the associated anticipated time frames to complete each process.

Also included are milestones during project progress when certain activities should be initiated. This table does not represent an exhaustive list of requirements but highlights the major milestones in the process.

Much concern has been expressed by interviewed stakeholders about the cumulative impacts of the community's relocation necessitating the completion of an Environmental Impact Statement – a lengthy and costly process. Our assessment is that an EIS is not inevitable. Given the relatively few concerns outlined above and the careful planning that has characterized relocation efforts to date, it is possible and perhaps even likely that an EA will suffice for any projects requiring NEPA documentation and permitting (e.g., the evacuation center). One important way the community can ensure that no federal agency is responsible for triggering the community's move and, thus, is required to address the impact of the full relocation in its permitting process, is to ensure the move is initiated by the community and not driven by or dependent upon federally funded projects.

Table 4. Permitting Considerations for Newtok Relocation Projects

Agency	Permit/Clearance/Consultation	When to initiate	Approximate time line to acquire permit/clearance
Federally Funded Projects			
NEPA Decision Document	Environmental Assessment (EA)	Upon receipt of funding*	1 year
	Environmental Impact Statement (EIS)	Upon finding of significance during EA process, unless funding agency decides to start with an EIS class of action.	3-5 years
SHPO/Tribes	Initiation of Consultation	Once a set of Alternatives are selected	30 days
	Finding of No Historic Properties Affected	After selection of a preferred alternative	30-120 days
State and Federally Funded Projects			
USFWS	Section 7 Consultation for Threatened and Endangered Species	Once a set of alternatives are selected	30-120 days ⁺
	Consultation regarding clearing windows for nesting birds and emperor geese	For any proposed ground disturbing activities	14-30 days
NMFS	Consultation for Essential Fish Habitat	For any in-water work	14-30 days
ADF+G	Title 16 Fish Habitat permit	For any work within Takikchak Creek	30-90 days
USACE	404 Wetlands permit	After a selection of a preferred alternative	120 days
ADEC	APDES Construction General Permit	1 month prior to construction, once 100% construction documents are complete	30 days**
Privately Funded Projects			
ADF+G	Title 16 Fish Habitat permit	For any work within Takikchak Creek	30-90 days
USACE	404 Wetlands permit, Individual or Nationwide Permit	For any work placing fill within wetlands	120 days
USFWS	Consultation regarding clearing windows for nesting birds and emperor geese	For any proposed ground disturbing activities	14-30 days
ADEC	APDES Construction General Permit	For any work that is part of a development plan with greater than 1 acre of disturbance. 1 month prior to construction, once 100% construction documents are complete	30 days**

*Initiation of NEPA document will begin with informal agency scoping and data-gathering. The NEPA process will continue throughout the entire project until a decision document (Finding of No Significant Impact (FONSI) or Record of Decision (ROD)) is obtained.

+Length of consultation will depend on determination of affect by regulatory agency.

** Includes review and approval of SWPPP, pre construction site visit, and submittal of notice of intent.



Photo by Carolyn George.



Preliminary Strategic Management Plan

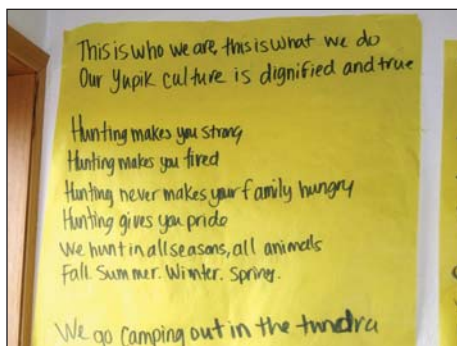
Introduction

This section of the Relocation Report outlines the structure and initial content of the Mertarvik Strategic Management Plan (SMP). The purpose of the SMP is to provide a guide to the community and its partners for each component of the relocation process. This report contains preliminary information for each of the following main components of the SMP:

- Maligtaquyarat (Guiding Principles for Mertarvik) – Outlines the community’s guiding principles for the relocation process. The community intends for all community members and partners to honor these principles when participating in activities associated with the relocation process.
- Relocation Plan – Provides a graphic depiction of the relocation plan for Mertarvik outlining the five phases of the relocation effort and highlighting the progression of services and infrastructure by major body of work.
- Pioneering – Outlines the community’s initial strategy for the pioneering phase of relocation including a set of draft evaluation criteria for site and community readiness and a time line for moving the first families to Mertarvik.
- Preliminary Relocation Schedule – Details approximate time lines for each major body of work.

Maligtaquyarat (Guiding Principles for Mertarvik)

The guiding principles for the relocation draw upon the community’s heritage and values. This poster hangs in the Newtok school.



June 9, 2011, the Newtok Traditional Council unanimously passed and approved a set of guiding principles for the community’s relocation to Mertarvik (see Appendix A-8 *Newtok Traditional Council Resolution 11-30*). It is the hope and intent that all community residents and partners working toward the relocation will respect and promote these guiding principles.

The relocation of Newtok will be defined by our Yup’ik way of life. Our Guiding Principles are:

- Remain a distinct, unique community – our own community.
- Stay focused on our vision by taking small steps forward each day.
- Make decisions openly and as a community and look to elders for guidance.
- Build a healthy future for our youth.
- Our voice comes first – we have first and final say in making decisions and defining priorities.

- Share with and learn from our partners.
- No matter how long it takes, we will work together to provide support to our people in both Mertarvik and Newtok.
- Development should:
 - Reflect our cultural traditions.
 - Nurture our spiritual and physical well-being.
 - Respect and enhance the environment.
 - Be designed with local input from start to finish.
 - Be affordable for our people.
 - Hire community members first.
 - Use what we have first and use available funds wisely.
- Look for projects that build on our talents and strengthen our economy.

Relocation Plan

“Back in the old days, the people were working together as a unit. They moved the old church from another village by dog team. They didn’t ask for money. They just did it. The BLA made us move here and now they are gone.” – Newtok Traditional Council Member

The project team members Heidi Wailand and Shelly Wade of Agnew::Beck Consulting, Sally Russell Cox of ADCED/DCRA, and Greg Magee and ADEC/Village Safe Water traveled to Newtok on June 7-8, 2011. The primary goal of the visit was to meet with community residents to establish a vision, framework, and present the draft relocation plan.

Figure 5, the Mertarvik Relocation Plan, is organized by nine bodies of work (site preparation; transportation; health and safety; drinking water, sewer, and solid waste; housing; energy; school and education; communications; and community resources) and four phases of the relocation driven by population levels. During the community meeting, each icon was printed on a single sheet of paper and assembled on the gymnasium wall. Community members were asked to help identify gaps or make changes to the sequencing or content of the icons as they saw fit. In a subsequent meeting with the Newtok Traditional Council, the phases were given Yup’ik titles. This version of the plan reflects the community’s and the Newtok Traditional Council’s feedback. Although no dates are assigned to the phases, the plan reflects a general sense of the time lines that might be associated with major projects, particularly those that are not yet in progress such as a school and a clinic.



Residents discuss the phases of relocation and what life might be like in Mertarvik and Newtok as the community moves.

This blank page is a place-holder for proper printing of the 11”X 17” Preliminary Relocation Plan graphic.

Mertarvik Relocation Plan



MERTARVIK

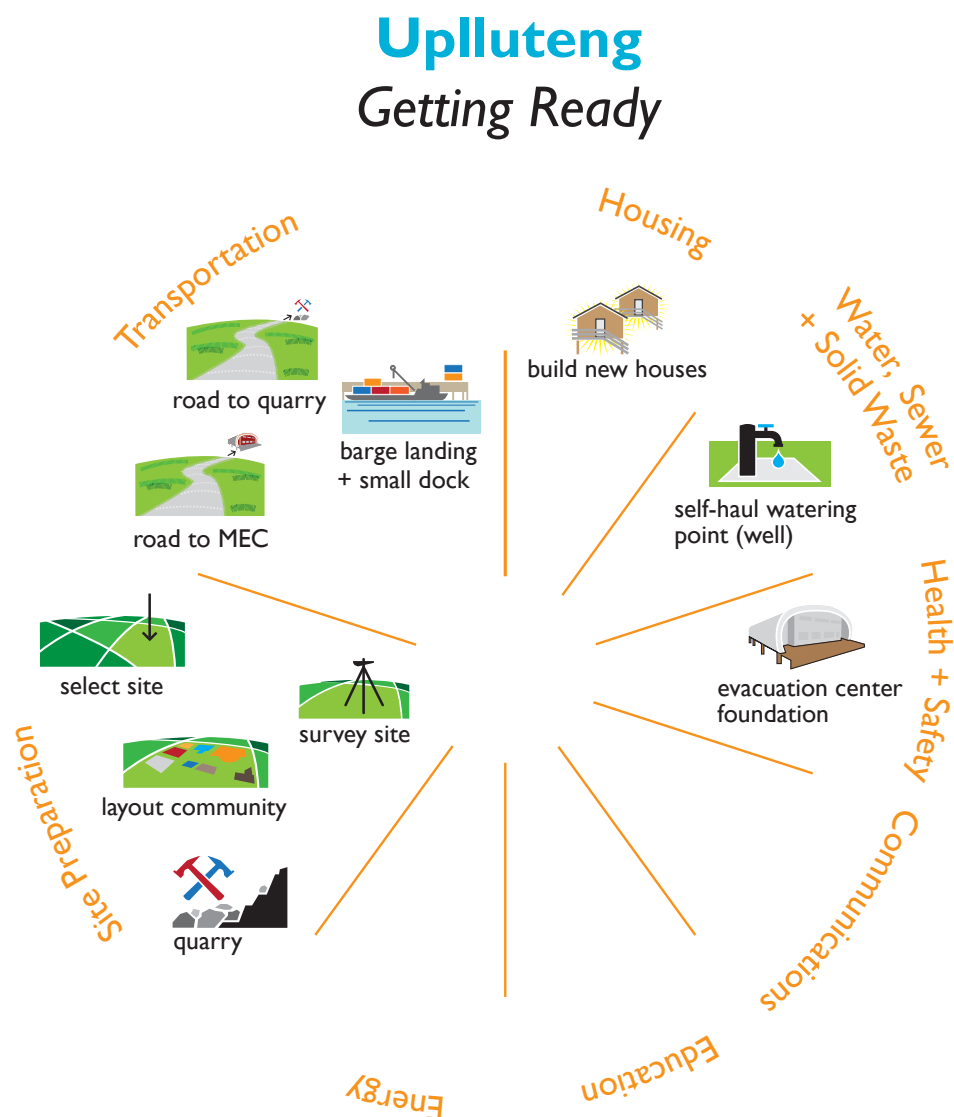
Nunaullemteggun ikayuqulluta tamamta, assirluta
aknirtenritellerkamtenun, nuggtarllemtenun ciunerkamteni
a community that builds together for the safe and healthy future of Newtok

	Uplluteng Getting Ready	Upagluteng* Pioneering * “Seasonal community movements” in Yup’ik	Nass’paluteng Transition	Piciurlluni* Final Move * “We made it!” in Yup’ik
Site Preparation	<div><div>select site</div><div>survey site</div><div>quarry</div><div>layout community</div></div>	<div><div>quarry</div><div>survey site</div></div>	<div><div>100 or more</div><div>200 or more</div></div>	<div>350 or more</div>
Transportation	<div><div>road to MEC</div><div>road to quarry</div><div>barge landing</div><div>small dock</div></div>	<div><div>community roads</div><div>float plane</div></div>	<div><div>road to airport</div><div>boat harbor</div><div>pioneer runway</div><div>runway</div></div>	<div>runway & shelter</div>
Housing	<div>build new houses</div>	<div><div>relocate existing housing</div><div>build new houses</div></div>		
Drinking Water, Sewer & Solid Waste	<div>self-haul water (well)</div>	<div><div>honey buckets & bunkers</div><div>pilot new waste water treatment technologies</div><div>self-haul water (well)</div><div>burn box</div></div>	<div><div>community water system</div><div>landfill</div><div>community waste water system</div><div>washeteria</div></div>	
Health & Safety	<div>evacuation center foundation</div>		<div><div>evacuation center</div><div>health aide</div><div>village public safety officer (VPSO)</div></div>	<div><div>clinic</div><div>tribal court</div></div>
Communications		<div>cell phone, VHF radio</div>	<div><div>MEC mail service</div><div>communications system</div></div>	
Education		<div>correspondence & home school</div>	<div><div>teacher in MEC</div><div>small school with room to expand</div><div>teacher in MEC</div></div>	<div>big school</div>
Energy		<div><div>woodstove</div><div>fuel tank</div><div>generator</div><div>alternative energy exploration</div></div>	<div><div>fuel tanks</div><div>alternative energy demonstration projects</div></div>	<div><div>community utility & fuel system</div><div>community alternative energy system</div></div>
Community Resources			<div><div>store</div><div>greenhouse</div></div>	<div><div>church</div><div>community serve center</div><div>evacuation center tribal offices</div></div>

Phase I: Uplluteng (Getting Ready)

Population 0

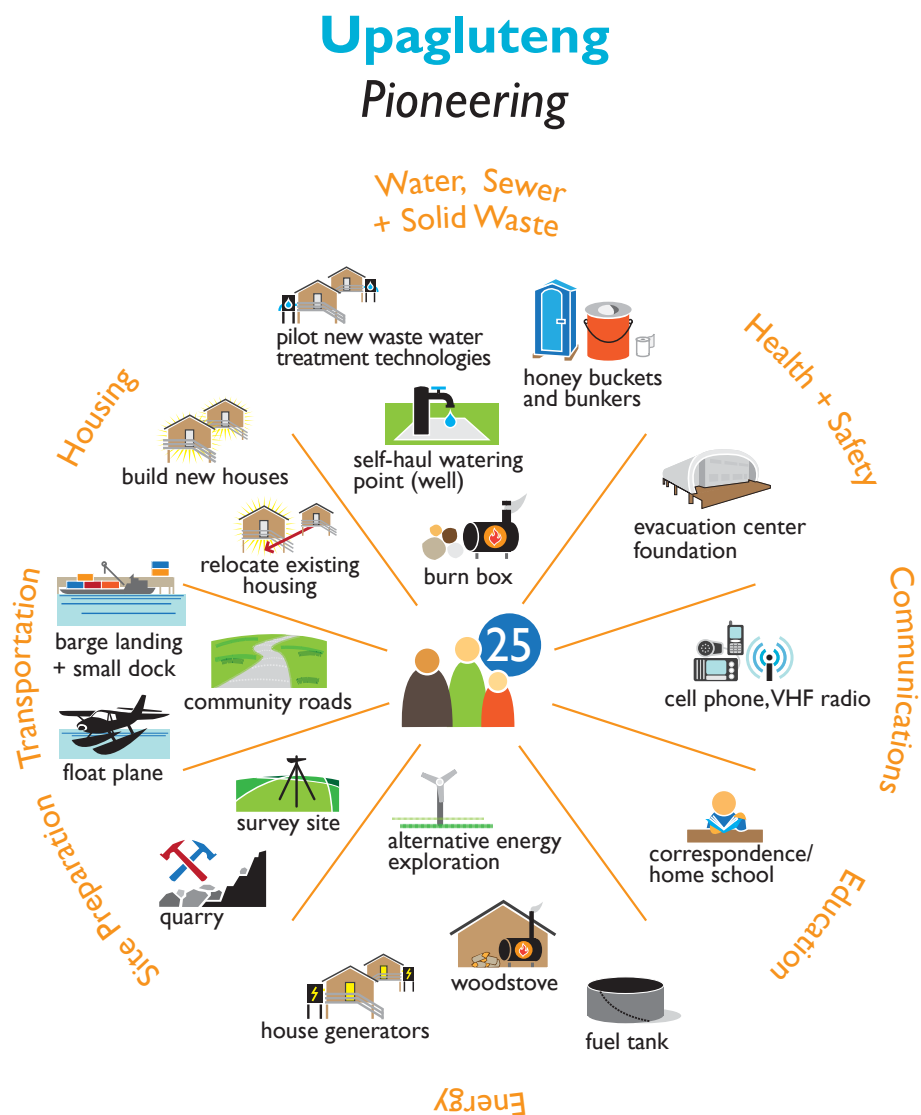
The getting ready phase refers to the current phase of development. The groundwork is being laid for future phases. This phase includes activities and infrastructure such as selecting the site, developing the quarry, completing a Community Layout Plan, drilling two drinking water wells, building a barge landing, houses, roads and the foundation of the MEC. Work streams in this phase are well established with one exception. A gap in the work currently in progress is the “grounding” of the Community Layout Plan or surveying to demarcate the future location of community infrastructure and housing. The Newtok Traditional Council is working with USACE to determine whether some initial surveying can take place in summer of 2011. A first round of surveying would help identify appropriate locations for the three houses slated for construction, as well as the three existing houses (slated to be moved) and also secure locations for future residents wishing to become a part of the pioneer move.



Phase 2: Upagluteng (Pioneering)

Population ~25 to 100 People

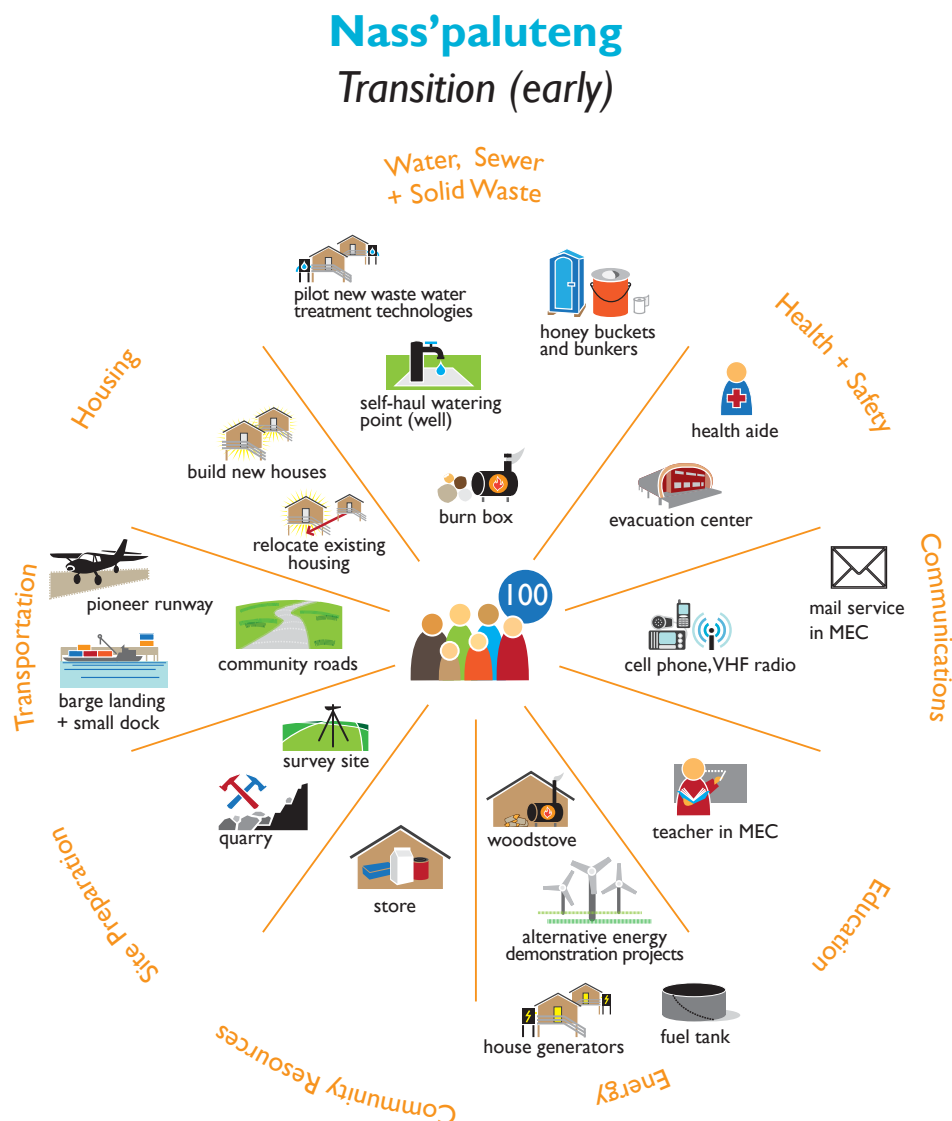
Upagluteng refers to the traditional practice of moving with the seasons. The icons depict what life might be like for the first residents living in Mertarvik. Self-haul water, honey buckets, wood stoves, and individual house generators, correspondence and home schooling, and VHF radio are some of the likely characteristics defining early life in Mertarvik. New technologies for waste water treatment and alternative energies might be piloted during this phase. For safety, residents will move back to Newtok during the spring and fall when movement back and forth to Newtok would be too risky.



Phase 3: Nass'paluteng (Transition)

Population ~100 People or More

In Yup'ik, Nass'paluteng refers to periods of transition. During the transition phase, more and more community members will make the move to Mertarvik. Early in this phase, a health aide and teacher(s) might be in place to provide health care and education. The MEC will be completed and serve as a multi-functional community facility. A pioneer runway may be completed and larger-scale demonstration projects might test promising technologies as agencies explore sustainable solutions for basic services. As the population grows, reaching say 200 or more, community systems should be agreed upon and established for water, wastewater, energy, and communications. An airport, a landfill, a small school, a store, and community greenhouse might be set in place during this phase.



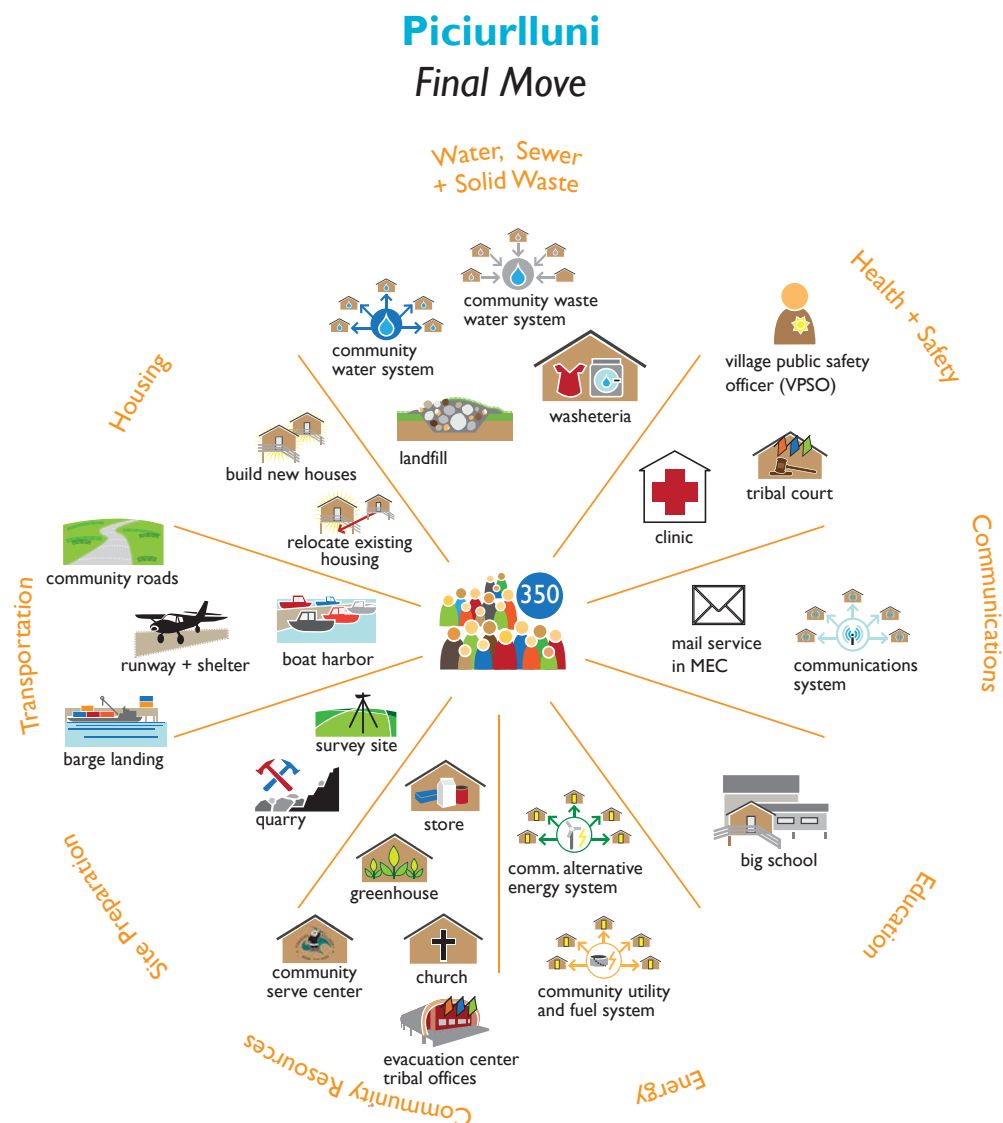
Nass'paluteng Transition (late)



Phase 4: Piciurlluni (Final Stage)

Population 350 People or More

Piciurlluni means “We made it!” in Yup’ik. This stage represents the final move of all Newtok residents to the new town site. The systems developed during the Transition phase are scaled to accommodate more people and more houses. Additional community facility projects, such as a large school, a clinic, and a tribal court, are completed.



Pioneering Plan

One of the many positive outcomes of the community site visit in June 2011 was the development of a preliminary pioneering strategy for the relocation process. To ensure quality of life for pioneers, the community developed two sets of evaluation criteria to measure: 1. If the new site is ready and can provide pioneers with the essentials they need, and 2. Which individuals and families are well suited and prepared to pioneer. The criteria are presented in Tables 5 and 6 on the following pages. Following the criteria is a summary of the community's current thoughts on the timing of the pioneering phase with a focus on what will happen in the near-term, starting this summer.

"We can start subsisting and storing food in Mertarvik now to support the pioneering families."
Photo by Carolyn George.



Table 5. Pioneering Evaluation Criteria for Site Readiness

Item	Criteria	Community Voices on Criteria
Housing	<p>Housing is the top priority and criterion for site readiness.</p> <p>During the recent community work session, the idea to move non-elders into the existing BIA homes was discussed and is supported by the elders for whom the new homes were originally built.</p> <p>Families will also be selected to move into the three additional homes slated to be built this summer.</p> <p>Homes that are closest to the shoreline should be moved first. (A handful of AVCP rental homes are located close to the shore and thus top candidates for relocation. Working with AVCP to address issues of ownership and securing funds for moving houses could quickly add to the housing stock at Mertarvik.)</p>	<p>“People are overcrowded in Newtok. If we give them (pioneers) the elderly housing (already built at Mertarvik), maybe that will incentivize them”.</p> <p>“I pay rental on an AVCP house, if I move, will I still have to pay? If these houses are owned by AVCP, we can’t move the houses. If AVCP writes off the rental agreement, we could move those houses. We have to follow the AVCP income guidelines.”</p>
Transportation	<p>Reliable transportation to and from Newtok. Although no pioneer runway will exist for emergency transport at the onset of the pioneering phase, this issue may be addressed through the use of float planes. Need to ensure a good float plane base at the new site.</p>	<p>“I would move across and be a pioneer but not having a pioneer runway (is a barrier).”</p> <p>“That’s how we started the village here. They landed in the lake and on the river. On calm days the water is smooth. Before we had the airport, we had goods air dropped.”</p>
Food	<p>A good supply of food and sufficient food storage.</p>	<p>“We can start subsisting and storing food in Mertarvik now to support the pioneering families.”</p>
Energy + Electricity	<p>Sufficient fuel supply and the right resources to address energy and power needs.</p> <p>Minimize energy needs through energy efficient construction and living practices.</p>	<p>“There is plenty of willow over there and they burn a long time. There’s plenty for firewood.”</p> <p>“Electricity is probably the most important thing that they will need. These houses are very well insulated, wood stove will heat the whole house and they can use Coleman lanterns for light.”</p>
Emergency Plans	<p>Develop a good strategy for working with people remaining in Newtok to address emergency issues.</p>	<p>“If there is an emergency, how will we evacuate? If someone gets sick, what kind of communication is in place with Newtok?”</p>
Communication	<p>VHF radio is the most reliable form of communication. Mertarvik also has cell phone reception further up the hillside.</p>	<p>There is the possibility that United Utilities Inc. can put planned broadband for the region in Mertarvik before or instead of Newtok.</p>
Mail Service	<p>Basic mail service – Air drop?</p>	<p>“If the pioneers are over there, they need to complete their food stamp and TANF paperwork.”</p>
Support from Newtok	<p>Pioneering families will need the assistance of families remaining in Newtok to ensure a smooth transition to and from Newtok and to ensure essential supplies and services are accessible.</p>	<p>“Back in the old days, the people were working together as a unit.”</p>

Table 6. Pioneering Evaluation Criteria for Individual and Family Readiness

Criteria	Community Voices on Criteria
Knowledgeable subsistence hunters that are willing to teach others their skills.	<p>“Our local knowledge makes it possible for us to pioneer.”</p> <p>“If we have people who don’t subsistence – that will be a problem.”</p>
Young families that can learn from others about how to do traditional subsistence activities. Many young families living in Newtok today are heavily dependent on food that comes from outside and food from the store.	<p>“They (the young people) could starve and not have enough food if they move to Mertarvik and do not know how to subsist from the land. Knowledgeable hunters can teach them the old ways of living off the land.”</p>
Families that are ready to work together and parents that are dedicated to their children’s education.	<p>Children could attend the Newtok school in person during the spring and fall and work via correspondence visiting periodically during the months that they reside in Mertarvik.</p> <p>“We are also trying to start a family gathering program. We are getting the families together in the fall when school starts to improve how we live in harmony at the village level. We’re targeting the school season because everyone will be home then.”</p>
People in good physical health, especially since there will be limited access to a health aide.	<p>“The BIA HIP houses are elder houses; at least two elders are healthy and maybe they could go and teach subsistence to the younger generation families.”</p>

Six homes will be in place to shelter the first pioneers at Mertarvik. Selecting the right set of families to take over these homes will be key for the success of the pioneering phase.

The first pioneering families will live in Mertarvik during the summer and winter months when travel to Newtok is safe. Photo by Carolyn George.



Timing for Pioneering

The timing for moving the first families to Mertarvik could happen as early as winter 2011. However, this first set of families would not live there full time without the proper infrastructure to ensure their safety. Weather and travel conditions in the fall and spring would limit access to and from Newtok so this would be a “temporary” or transitional move. In the words of tribal leadership, “The spring and the fall are the two obstacles; they could live there in the summer and then move back and then when the snow gets thick enough, they could go back. Families will be in Mertarvik during the summer and winter. They will still have their houses here (in Newtok) and can stay in fall and spring.”

Preliminary Relocation Schedule

In developing the preliminary relocation schedule, the project team examined each body of work, differentiating between work underway and work not yet in progress (see Appendix A-9 *Preliminary Relocation Schedule*). For work under way, year one is 2011. For work not yet in progress, the time frames are based on similar projects and year one could be 2011, 2012, 2013, etc. The time lines laid out are based on interviews with a range of stakeholders.

Talking with the Traditional Council and a range of agency stakeholders, securing sufficient funding for housing is almost certain to be the biggest challenge of the relocation effort. Housing is the critical path and will dictate the time line for the move. The current village of Newtok contains 75 houses.¹⁰ Between six and 22 are believed to be moveable.¹¹ If we assume that 80 houses will be needed in order for all residents to move, 15 existing houses in Newtok can be moved, and six houses will have been built in Mertarvik by the end of summer 2011, Newtok residents will need to secure funding for and build approximately 60 homes.¹² In terms of time lines, at the current pace of construction (three houses per year), the move will take 20 years. If the average pace of home construction can be doubled (i.e. six houses per year), it would take 10 years to move.



Securing sufficient funding to meet the community's housing need is likely to be the biggest challenge of the relocation effort.

10. Alaska Division of Community and Regional Affairs and Denali Commission. 2008. *Newtok Housing Analysis*. Document prepared by interns Lee Huntoon, Jennine Stebing, and Robin Bronen and provided to the team by Sally Russell Cox.

11. Sally Russell Cox, ADCCED/DCRA, cited a study that concluded 6 houses were moveable; Newtok Tribal Administrator Stanley Tom reported that up to 22 houses may be moveable.

12. Given overcrowding and the condition of Newtok's housing stock, 60 new houses is likely a conservative estimate of need.

Feedback from interviewees suggests that meeting the housing need for Mertarvik will occur incrementally and require: 1. The implementation of a site control and ownership strategy as soon as possible, 2. Homeowner and resident labor and resourcefulness, 3. Relocation of existing houses, 4. Salvaging existing materials for rebuild, 5. Loans coupled with energy efficiency, and 6. Traditional and non-traditional funding for new housing.

Ongoing Maintenance and Closure of Newtok

During Phase 2, the project team will work with the community to develop a clear plan for the clean up and eventual closure of Newtok.



The community needs to work with its partners to ensure the health and safety of Newtok residents today. Besides current efforts to secure another fresh water supply (a project funded by Indian Health Service and managed by Village Safe Water), the community should inventory other needs that will help keep Newtok residents healthy and safe throughout the relocation process. Additionally, the community must work together to develop a clear plan for the eventual closure of Newtok beginning today with the clean-up of debris that has resulted

from recent storm events. Tribal Administrator Stanley Tom expressed his vision for departing from Newtok: “(The community) would like to be able to say a graceful goodbye to Newtok; we won’t be able to see the old village but we will return to some of the same areas for subsistence activities.” A high-level strategy for the closure of Newtok will be folded into the SMP during Phase 2.

Funding Options

A critical component of Phase 2 is conducting a comprehensive inventory of funding opportunities and options. The SMP will lay out various funding scenarios for the different phases and bodies of work in the relocation process. Upon completion, the community and their partners will have a comprehensive inventory of funding opportunities that includes relevant private, federal and state resources. With this base of information, the community can develop a funding plan for the relocation.

Community Planning Process

After the SMP is finalized, the next step will be to implement the comprehensive planning process. The process will include the development of a land use plan that provides the framework and policy direction for land use decisions and builds upon the existing Community Layout Plan.

Appendices

A-1 Mertarvik Strategic Management Plan Stakeholder/Expert Interviews Conducted

A-2 Newtok Community Meeting Participants

A-3 Participants in the Newtok Planning Group

A-4 Newtok Village Relocation Issues and Challenges as Identified in Stakeholder Interviews

A-5 Mertarvik Relocation Investments to Date by Funder and Type of Funding

A-6 Mertarvik Evacuation Center Design Considerations and Highlights

A-7 Moving Newtok: Mertarvik Community Layout Plan

A-8 Newtok Traditional Council Resolution 11-30

A-9 Preliminary Relocation Schedule

A-I Mertarvik Strategic Management Plan Stakeholder/Expert Interview Conducted

Date	Individual	Stakeholder Organization
1-26-11	Sally Russell Cox, Planner, Newtok Planning Group Coordinator, Mertarvik SMP Project Manager	State of Alaska, Department of Commerce, Community, and Economic Development, Division of Community and Regional Affairs
2-2-11	Laurie Cummings, Planner, Contractor for Mertarvik Community Layout Plan	HDR Alaska, Inc.
2-11-11	Stanley Tom, Tribal Administrator	Newtok Traditional Council
2-24-11	Kate McIntyre, Project Manager	Lower Kuskokwim School District
2-25-11	Greg Magee, Village Safe Water Program Manager, Mertarvik SMP Expert Advisor	State of Alaska, Department of Environmental Conservation, Village Safe Water Program
2-25-11	Guy McConnell, Chief, Environmental Resources Section	U.S. Army Corps of Engineers, Alaska District
2-25-11	Kim Mahoney, Project Manager	State of Alaska, Department of Transportation and Public Facilities, Statewide Public Facilities
3-1-11	David Vought, Sustainability Officer/Native American Program Specialist	U.S. Department of Housing and Urban Development, Office of Native American Programs
3-1-11	Greg Stuckey, Administrator's Advisor	U.S. Department of Housing and Urban Development, Office of Native American Programs
3-2-11	Captain Chad Hailey, Mertarvik IRT Lead	U.S. Marine Corps, Innovative Readiness Training Program
3-2-11	Sam Kito, Facilities Engineer	State of Alaska, Department of Education and Early Development,
3-3-11	Master Sergeant Charles Stoyer	Air National Guard, REDHORSE Team, Innovative Readiness Training Program
3-3-11	Mike Black, Director of Program Development	Alaska Native Tribal Health Consortium, Division of Environmental Health and Engineering
3-3-11	Judy Chapman, Manager, Aviation and Programs	State of Alaska, Department of Transportation and Public Facilities, Central Region Planning
3-3-11	Don Fancher, Planner, Kuskokwim Area	State of Alaska, Department of Transportation and Public Facilities, Central Region Planning
3-3-11	Morgan Merritt, Project Manager	State of Alaska, Department of Transportation and Public Facilities, Central Region
3-3-11	Gabriel Mahns, Central Region Planner	Federal Aviation Administration
3-3-11	Royce Conlon, Vice President and Principal Civil/Environmental Engineer, Contractor for Newtok Airport Relocation Reconnaissance Study	PDC Inc. Engineers
3-14-11	Nancy Merriman, Health Facilities Senior Program Manager	Denali Commission
3-14-11	Denali Daniels, Senior Energy Program Manager	Denali Commission
3-14-11	Tessa DeLong, Director of Programs	Denali Commission
3-16-11	Mike Coffey, Statewide Maintenance and Operations Chief	State of Alaska, Department of Transportation and Public Facilities, Commissioner's Office
3-23-11	David Longtin, Village Safe Water Engineer	State of Alaska, Department of Environmental Conservation, Village Safe Water Program
4-21-11	Andrea Elconin, Project Manager	U.S. Army Corps of Engineers, Alaska District
4-18-11	Neil Rodriguez, Regulatory Manager	Coastal Villages Region Fund

(Continued) A-1 Mertarvik Strategic Management Plan Stakeholder/Expert Interview Conducted

Date	Individual	Stakeholder Organization
4-20-11	Ruth Carter, Coastal Engineer	State of Alaska, Department of Transportation and Public Facilities, Ports and Harbors
4-20-11	Craig Boeckman, Regional Geologist	State of Alaska, Department of Transportation and Public Facilities, Central Region Materials
4-20-11	Dan Hall	Knik Construction
4-20-11	Ray Richards	Knik Construction
4-22-11	Cathe Grosshandler, Environmental Coordinator	U.S. Postal Service, Alaska District
4-26-11	James Jensen, Project Manager	Alaska Energy Authority
6-7-11	Romy Cadiente, Postmaster	U.S. Postal Service, Newtok Post Office
6-7-11	Grant Kashatok, School Site Administrator	Lower Kuskokwim School District, Newtok Ayaprun School
6-24-11	Patrick Snow	US Fish and Wildlife Service
7-11-11	Christine Klein, Chief Operating Officer	Calista Corporation

A-2 Newtok Community Meeting Participants: June 7, 2011

1. Norma Andy
2. Joseph Mark
3. Walter Kassaiuli
4. Harry Nevak
5. Myra Nevak
6. Theresa B. Andy
7. Carolyn George
8. Christine Patrick
9. Romy Cadiente
10. Moses Carl
11. Elizabeth A Tom
12. Stanley Tom
13. Sophie John
14. Gabriel Patrick
15. Paul Lincoln
16. Bertha Queenie
17. Elsie Stewart
18. Lucy Tom
19. Gabriel Charlie
20. Crystal Charlie
21. Margi Earviak
22. Agnes Earviak
23. Marie Earviak
24. Frieda Carl
25. Phillip Carl
26. Grant Kashatok
27. George Tom
28. David Carl
29. Marie P Carl
30. Julia Charles
31. Andy T Patrick
32. Lucinta Ivon
33. Precilla Paniyak
34. Annie Kassaiuli
35. Joseph Inakak
36. Nellie Andy
37. Bosco Tom
38. Darby John
39. Scott Charlie
40. Jack Tom Jr.
41. Roderick Stewart
42. Walter Tom
43. Rita Kilongak
44. Marie Carl
45. Mary George
46. Theresa Charles
47. Leona Albert
48. Georgianna Waska

A-3 Participants in the Newtok Planning Group

Native Village of Newtok

Newtok Traditional Council

Newtok Native Corporation

State of Alaska

Alaska Department of Commerce, Community, and Economic Development– group coordinator

Alaska Department of Environmental Conservation (DEC)/Village Safe Water Program

Alaska Department of Transportation and Public Facilities

Alaska Department of Military and Veterans Affairs/Division of Homeland Security and Emergency Management

Alaska Department of Education and Early Development

Alaska Department of Health and Social Services

Alaska Industrial Development and Export Authority/Alaska Energy Authority

Alaska Governor's Office

Alaska Legislative Representatives:

- Senator Lyman Hoffman's Office
- Representative Herron's Office

Federal

U.S. Army Corps of Engineers, Alaska District

U.S. Department of Commerce, Economic Development Administration

U.S. Department of Commerce, National Oceanic and Atmospheric Administration

U.S. Department of Defense, Innovative Readiness Training Program

U.S. Department of Agriculture, Rural Development

U.S. Department of Agriculture, Natural Resources Conservation Services

U.S. Department of Housing and Urban Development

U.S. Department of the Interior, Bureau of Indian Affairs

U.S. Department of Transportation, Federal Aviation Administration

U.S. Environmental Protection Agency

Denali Commission

Alaska Congressional Delegation

- Senator Lisa Murkowski's Office
- Senator Mark Begich's Office

Regional Organizations

Association of Village Council Presidents, Regional Housing Authority

Alaska Native Tribal Health Consortium

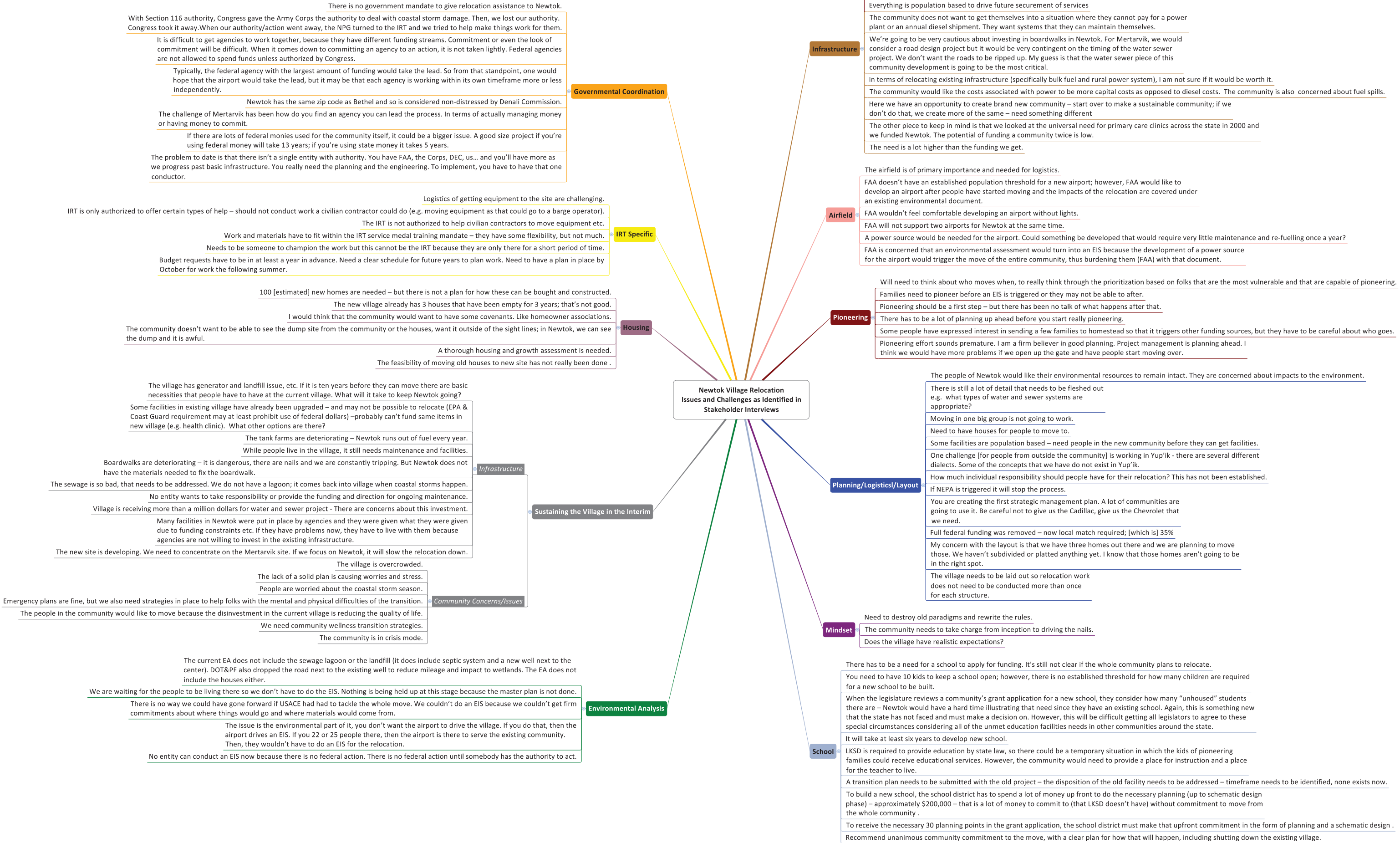
Coastal Villages Region Fund

Lower Kuskokwim School District

Rural Alaska Community Action Program

Yukon-Kuskokwim Health Corporation

A-4 Newtok Village Relocation Issues and Challenges as Identified in Stakeholder Interviews



A-5 Table. Mertarvik Relocation Investments to Date by Funder and Type of Funding (Items in orange indicate missing data.All figures need to be verified.)

Body of Work	Funder	Project Details	Timeline	Federal \$	State \$	Other \$	Funding Type	Conven- tional	Non-Con- ventional	Notes
Site Acquisition	NNC	Land Exchange with Fish + Wildlife	1996-2003			?	Land Exchange			Estimated value of land ex- changed + attorney
Preliminary Planning	BIA	Proposed Land Use and Transportation Plan by ASG, November 2001	2001-2004	\$16,500			Cost Shared	\$16,500		
	USACE	Proposed Land Use and Transportation Plan by ASG, November 2001	2001-2004	\$16,500			Cost Shared	\$16,500		Planning Assistance to States
	BIA	Preliminary Geotechnical Overview and Archaeological Inspection, November 2002	2002	\$24,000			Cost Shared	\$24,000		
	USACE	Preliminary Geotechnical Overview and Archaeological Inspection, November 2002	2002	\$24,000			Cost Shared	\$24,000		Planning Assistance to States
	BIA	Streamflow Monitoring and Water Quality Testing, Takikchak River by USGS	?	\$42,500			Cost Shared	\$42,500		
	USACE	Streamflow Monitoring and Water Quality Testing, Takikchak River by USGS	?	\$42,500			Cost Shared	\$42,500		Planning Assistance to States
	BIA	Background Relocation Report by ASG, January 2004	2003-2004	\$22,000			Cost Shared	\$22,000		
	USACE	Background Relocation Report by ASG, January 2005	2003-2005	\$22,000			Cost Shared	\$22,000		Planning Assistance to States
	USACE	Environmental Baseline Studies	2005-2007	\$230,000			100%	\$230,000		Tribal Partnership Program
	USACE	Aerial Photography and Topographic Mapping	2005-2007	\$40,000			100%	\$40,000		Tribal Partnership Program
	USACE	Preliminary Costs of Alternatives by Tetra Tech, April 2005	2005-2007	\$30,000			100%	\$30,000		Tribal Partnership Program
	USACE	Preliminary Relocation Planning Analysis by Tetra Tech	2006	\$35,000			100%	\$35,000		Tribal Partnership Program
	USACE	Mertarvik Townsite Geotechnical Report	2009	\$265,000			100%	\$265,000		Tribal Partnership Program
Barge Landing	EDA	Barge Landing + Initial Groundbreaking	2009	\$800,000			Competitive Grant	\$800,000		Awarded to Newtok and DCCED/DCRA
	DOT/PF	Barge Landing + Initial Groundbreaking	2009		\$200,000		Match Funding	\$200,000		
	State of AK	Barge Landing + Initial Groundbreaking	2009		\$1,800,000		Legislative Ap- propriation		\$1,800,000	State of Alaska funds appropria- tion to DOT/PF
Site Preparation	USDOD IRT	Base Camp, Small Dock, Roads Development Support	FY2000-2008	\$667,693				\$15,000		Some Service contributions not included
	USDOD IRT	Base Camp, Small Dock, Roads Development Support	FY2009	\$2,871,000					\$667,693	Individual Service contributions not included
	USDOD IRT	Base Camp, Small Dock, Roads Development Support	FY2010	\$3,688,000					\$2,871,000	Individual Service contributions not included
	USDOD IRT	Roads, MEC, and Quarry Development Support	FY2011	\$4,964,000					\$3,688,000	
	BIA	Initial Site Surveying	2011	\$19,000					\$4,964,000	
	VSW	Community Layout Plan (Initial Concept)	2006-2011		\$5,000			\$5,000		
	DCCED/DCRA	Community Layout Plan (First Draft)	2006-2011		\$30,000		Competitive Grant	\$30,000		Awarded to Newtok
	DCCED/DCRA	Community Layout Plan (Plan Update)	2006-2011		\$30,000		Designated to Newtok	\$30,000		Alaska Climate Change Impact Mitigation
Mertarvik Evacuation Center	USACE	Evacuation Center Decision Document and Evironmental Assessment, 2008	2006-2009	\$300,000			100%	\$300,000		Alaska Coastal Erosion Program (Section 117)
	USACE	Amend Environmental Assissment and Acquire Permits	2010-2011	\$130,000			100%	\$130,000		Planning Assistance to States
	DCCED/DCRA	Mertarvik Evacuation Center - Initial Concept Development by CCHRC	2009		\$120,000		Designated to Newtok	\$120,000		Alaska Climate Change Impact Mitigation
	State of AK	Mertarvik Evacuation Center - Design + Construction	FY10		\$4,000,000		Legislative Ap- propriation		\$4,000,000	State of Alaska funds appropri- ated to DCEDD/DCRA
Transportation - Roads	USACE	Access Road Design, 2009 + Initiate EA Amendment	2006-2009	\$50,000				\$50,000		Alaska Coastal Erosion Program (Section 117)

Orange = missing data

(Continued) A-5 Table. Mertarvik Relocation Investments to Date by Funder and Type of Funding (Items in orange indicate missing data.All figures need to be verified.)

Body of Work	Funder	Project Details	Timeline	Federal \$	State \$	Other \$	Funding Type	Conven- tional	Non-Con- ventional	Notes
Transportation - Waterfront	State of AK	Access Road Design, 2009 + Initiate EA Amendment	2006-2009		\$72,000		Legislative Ap- propriation		\$72,000	State of Alaska funds appropri- ated to DOT/PF
	BIA IRR	Incorporation of Major Roads into IRR Roads Inventory	2011	\$395,000				\$12,000		
	State of AK	Mertarvik Road Design	FY09		\$2,000,000		Legislative Ap- propriation		\$57,000	SOA funds appropriated to DOT/ PF
	BIA ARRA	Waterfront Site Assessment	2011-2012	\$350,000					\$120,000	
Quarry Development	USACE	Stormwater Pollution Prevention Plan	2010	\$12,000				\$150,000		Planning Assistance to States
	State of AK	Stormwater Pollution Prevention Plan	2010		\$57,000		Legislative Ap- propriation	\$1,200,000		State of Alaska funds appropri- ated to DOT/PF
	BIA	Quarry Equipment - Front Loader	2011	\$120,000				\$135,000		
Relocation Planning	BOEMRE	Strategic Management Plan	2011-2012	\$150,000			Competi- tive grant to DCCED	\$45,000		Coastal Impact Assistance Pro- gram
Water and Wastewater	IHS	Needed Investments in Newtok	2011-2012	\$1,200,000			Designated to Newtok	\$121,500		Administered by VSW
	EPA	Mertarvik Sanitation Master Planning: Hydrogeological Study to Determine Well Loca- tion	2002	\$135,000			100 %	\$40,500		
	VSW	Mertarvik Sanitation Master Planning: Hydrogeological Study to Determine Well Loca- tion	2002		\$45,000		100 %	\$82,500		
	EPA	Mertarvik Sanitation Master Planning: Well Testing and Feasibility study of Water/ Wastewater Options	2009	\$121,500			100 %	\$27,500		
	VSW	Mertarvik Sanitation Master Planning: Well Testing and Feasibility study of Water/ Wastewater Options	2009		\$40,500		100 %	\$395,000		
	EPA	Mertarvik’s Water and Wastewater Master Plan	2011	\$82,500			100 %		\$2,000,000	
	VSW	Mertarvik’s Water and Wastewater Master Plan	2011		\$27,500		100 %			
Housing	HUD NAHASDA	Housing- Allocation from AVCP	2011	\$250,000			Block Grant Allocation	\$250,000		From AVCP
	BIA HIP	Three BIA HIP homes	2008-2009	\$600,000				\$600,000		Need to verify amount.
	BIA	Funds for removing asbestos from former school materials	2010-2011	?						
Airport	FAA	Conduct Airport Master Plan Study	2004-2008	\$90,001				\$90,001		
	FAA	Conduct Airport Master Plan Study (Collection of Wind Data)	2006	\$104,000				\$104,000		
	FAA	Conduct Geotechnical Investigation	2007	\$332,450				\$332,450		
	FAA	Conduct Airport Master Plan Study (Additional geotechnical, environmental data gath- ering, scoping and ALP)	2009	\$356,250				\$356,250		
Workforce Development	BIA	Scholarships for 17 Residents to Pursue Trade Training	2011	\$7,500					\$7,500	
	AVCP	Scholarships for 17 Residents to Pursue Trade Training	2011			\$7,500			\$7,500	
Community Engagement	Harvard University	Youth Video Project	2011			\$20,000	Competitive Grant		\$20,000	Honoring Nations Award
Newtok Cleanup	EPA IGAP	Newtok Village Site Cleanup		?			Block Grant			
	BOEMRE	Newtok Environmental Site Inventory and Assesment	2011-2012	\$100,000			Competitive Grant	\$100,000		CIAP grant awarded to DCCED
Total				\$ 18,705,894	\$ 8,427,000	\$ 27,500	27,160,394			
Percentage of Total				69%	31%	0.1%	100%			

Orange = missing data

A-6 Mertarvik Evacuation Center Design Considerations and Highlights

Design Considerations

- Building and systems must be designed to go cold and be able to be a turn key evacuation center for up to 300 people for 14 days. Building includes: gathering area, small residential style kitchen, washateria with energy efficient – residential grade washer/dryer, health clinic (small room for private examinations and supplies)
- Overall square footage is reduced to comply with code requirements (new total is 7000 SF). Original Size: 13,000+ square feet
- Generator sized for the project meets half of the design load with design potential to include alternate energy systems once the Village has relocated and the facility is used as a community building.
- Energy efficient design with simple systems the Village can easily maintain and obtain parts for.



South Elevation

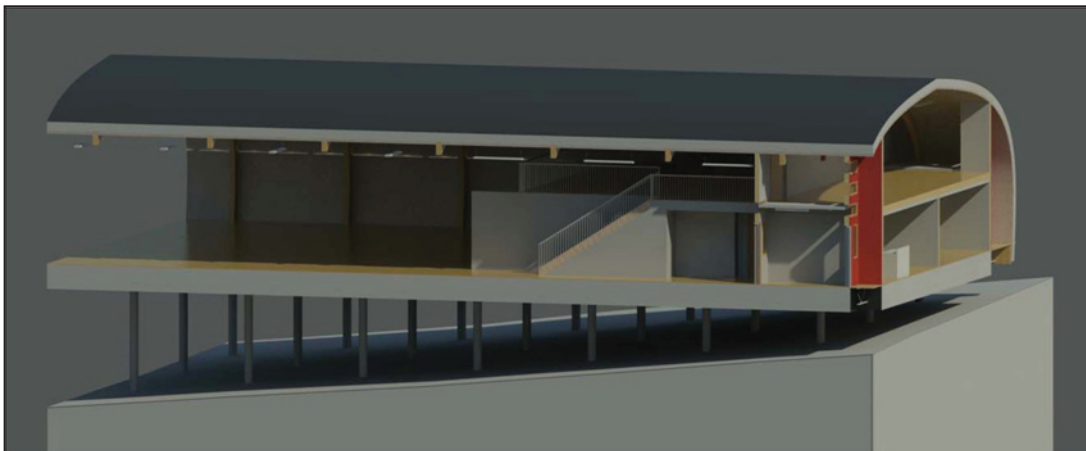
Main entry of the MEC with two recessed doors

- Windows for daylighting
- Mechanical louvers
- Red metal siding on end walls
- Gray spray-on coating on roof



North Elevation

- Design incorporates R50 roof/wall assembly with no penetrations in the roof structure maximizing energy efficiency
- Endwalls with windows for daylighting and views still allow for a usable shelter in varying conditions.



Rendering based on 65% design – presents a close depiction of design plans

MOVING NEWTOK

Newtok's current village site on the Bering Sea coast is threatened by flooding and erosion. The community will be relocating to the Mertarvik site on a high hill farther from the water's edge. The **MERTARVIK COMMUNITY LAYOUT PLAN** will guide the new village site's development.

BACKGROUND & PROJECT PURPOSE

Newtok is a Yup'ik Eskimo village and residents maintain a traditional subsistence lifestyle. Newtok shares a history with other Nelson Island communities. Their ancestors have lived on the Bering Sea coast for at least 2,000 years. Collectively, the people from the five Nelson Island villages are known as Qualuyaarmiut, or "dip net people."

The Village of Newtok is located on the north bank of the Ninglick River in the Yukon-Kuskokwim Delta Region. Newtok is approximately 94 miles northwest of Bethel. The current village location was first settled in 1949. At that time, the community moved from Old Kealavik to escape flooding and because there was no suitable site for a school. The current village site was located at the farthest point up river that the barge carrying the school building materials could reach.

Now, Newtok is again threatened by flooding and continuing land erosion from the Ninglick River. Projections indicate that buildings within the village will begin to erode into the river within the next five years.

After considering several options, the community has decided to relocate to a new site approximately 9 miles away on Nelson Island. The new site is farther from the water's edge and located on a high hill. The new site is called Mertarvik which means "getting water from the spring" in Yup'ik. Once Mertarvik is occupied, it will be known as Newtok. For more information on how Mertarvik was selected, please see the *Newtok Background for Relocation Report* (ASCG 2004).

To make the move, Newtok residents began

looking at alternatives and planning. This community layout plan (CLP) for the Mertarvik site is the next important step. This CLP gives details on what the new village will be like, and will guide the new village's efficient and orderly development.

PROJECT HISTORY

Erosion on the Ninglick River has occurred for more than 50 years and has had a negative impact on the community. The river has eroded over 3,300 linear feet since 1954 (ASCG 2004). This has resulted in a loss of the landfill and barge landing, reduced river access, increased workload to reach the temporary landfill, reluctance of agencies to invest in the community's infrastructure, and a general uncertainty among residents about what is going to happen in the future.

In response to the erosion threat, the village decided to relocate. In the early 1990s, the Newtok Traditional Council (NTC) analyzed six potential village relocation sites. The community selected a site on the north side of Nelson Island. This site was part of the Yukon Delta National Wildlife Refuge. In 1996, the Newtok Native Corporation began working with the U.S. Fish and Wildlife Service (USFWS) to exchange land within the Yukon Delta National Wildlife Refuge. In November 2003, the 108th Congress passed S. 924, the legislation that authorizes the exchange of lands between the USFWS and the Newtok Native Corporation.

In the spring of 2006, a group of representatives from State and Federal agencies as well as other interested parties formed the Newtok Planning Group (NPG). The purpose of the group is to

identify agency resources and to establish a strategy for assisting Newtok in its relocation efforts.

As part of the NPG work, Village Safe Water (VSW) developed a preliminary layout based on a piped water and sewer system at the new site (sometime referred to as the boomerang layout) with minimal operating costs.

The NTC hired HDR Alaska to further develop the VSW layout. After several agency and community meetings, a CLP was developed in June 2008. In 2010, a decision was made to locate the new community closer to the water. As a result, a CLP update process was initiated. Two alternatives that built upon the same principles as the initial layout were developed. Based on community and agency input, Alternative 2 was the preferred choice, and after further modifications, became the final CLP (see reverse).

Simultaneously with this CLP effort, the Alaska Department of Transportation & Public Facilities (DOT&PF) is working on the design and construction of an evacuation center on Mertarvik to address the emergency needs of the existing community.

PLANNING REQUIREMENTS

The CLP has to be designed to meet the needs of Newtok residents. In addition to being functional for their daily activities, the layout also needs to consider the topography of the selected site as well as the operation and maintenance costs. The main planning requirements are summarized below:

- Centrally locate community facilities
- Accommodate alternative energy sources
- Locate washeteria/water treatment plant near the power plant to use waste heat
- Accommodate 63 single family housing units with room for expansion
- Provide access to barge landing, airport, gravel source, and fish camp

POPULATION & DEMOGRAPHICS

Knowing the population and demographics of Newtok is important because they have a direct effect on many aspects of village life including housing, transportation, infrastructure, and community facilities.

Population in 2006	323
Estimated population in 2020	640
Average household size	5.1
Occupied housing units	63

Source: ASCG 2004

GOALS & OBJECTIVES

A community meeting was held on December 10, 2006, to identify the community's goals for the Mertarvik Community Layout Plan. The community's wishes are summarized below:

Goal 1: Provide access to the natural environment

Create connections to the setting and preserve access to subsistence resources, including fishing, hunting and berry picking areas.

Objectives:

- Provide connections to subsistence resources such as fish camp and berry patches
- Consider wind and sun orientation in layout design

Goal 2: Preserve traditional way of life

Maintain Newtok's traditional way of life including Eskimo dancing and learning from the elders.

Objectives:

- Develop community spaces where people can interact and learn from each other
- Provide housing that is suitable for large families

Goal 3: Use reliable, affordable and sustainable infrastructure

Improve the quality of life of Newtok residents by providing basic infrastructure that can be maintained by the community.

Objectives:

- Develop a piped water and sewer system with affordable user fees
- Minimize maintenance requirements
- Consider alternative energy

CONSTRAINTS

Sloping terrain. The new village site is located on a north-facing slope. The slope influenced the location of roads in an attempt to keep road grades to 8% or less. This grade is desirable because it:

- makes travel up and down the hill easier,
- has fewer maintenance needs,
- has fewer drainage issues.

Housing. The US Department of Housing and Urban Development (HUD) has certain criteria that need to be met before they will fund a housing project. HUD requires that projects:

- have an acceptable separation from above ground tanks,
- not be located in the runway protection zone (RPZ) of an airport,
- have a noise level of 65 dbl or less,
- have no contamination from toxic chemicals.

Airport. A runway requires a long, flat stretch of land. Area terrain limited airport alternatives. In addition, the sewage lagoon and landfill must be a minimum of 5,000 feet away from the airport. All setback requirements and height restrictions must also be met.

Infrastructure. The new site must be able to support a gravity fed water and sewer system. Maintenance costs should be minimized to reduce the financial obligations of the community. The

3. The third stage would be to develop a construction camp including a building to house construction workers as well as material storage space.

4. Shortly after completing the construction camp, the airport would be developed to support construction activities. Construction of the airport would require a road to the airport and a road to a gravel source (if not already developed).

5. After the airport is complete, the next priority would be to construct housing. Roads would be built to access the housing areas. At the same time, the water system, sewer system, sewage lagoon, landfill, etc. should also be built so they are available when people start moving into the new village; however, phasing of infrastructure construction may change depending on the systems selected. After the new housing is built, housing from the existing village would be relocated.

6. The last phase would be the construction of the remaining community buildings and facilities. Until these facilities are built, the multi-use building would be used.

INNOVATIVE READINESS TRAINING PROGRAM (IRT)

The Department of Defense's Innovative Readiness Training Program provides military personnel with hands-on training opportunities on projects that benefit civilian communities. The IRT has made a 5-year commitment to the relocation effort by providing labor and transportation of materials and construction equipment. In 2010, the IRT constructed an access road from the barge landing to the evacuation center site. In 2011, the IRT will begin construction work on the evacuation center.

RECOMMENDATIONS

The following studies are recommended to provide a solid basis for planning and design:

Building Survey

A comprehensive building survey of the existing village is needed to identify buildings that can be moved to the new site. The survey would include identifying repairs each building needs prior to it being moved.

Once the community has a firm number of how many buildings could be moved, they could work on acquiring funding for moving and replacing buildings. Residents could also start making needed improvements to existing buildings so they are ready to be moved when the time comes.

Housing Plan

After the building survey is complete, Newtok would know how many housing units can be relocated and how many new structures will be required. Newtok should work with HUD to identify potential funding sources.

In addition, the existing housing may not be the most appropriate housing for the new location and Newtok's traditional way of life. Newtok should work with HUD and research groups such as University of Alaska Building Technology Department to determine what type of housing

APRIL 2011

PREPARED FOR
**NEWTOK
TRADITIONAL COUNCIL**



BY HDR ALASKA, INC.

This plan is funded by the Alaska Climate Change Impact Mitigation Program which was established by Alaska's Twenty Fifth Legislature. The preparation of this plan was made possible by a grant from the Alaska Department of Commerce, Community and Economic Development, Division of Community & Regional Affairs to the Newtok Traditional Council. The views expressed herein are those of the author(s) and do not necessarily reflect the views of the State of Alaska or any of its sub-agencies.

THANK YOU TO THE FOLLOWING PEOPLE AND ORGANIZATIONS

Newtok Traditional Council

President, Moses Carl	Member, Joseph John, Sr.
Vice President, Walter Kassauli	Member, Joseph Inakak
Secretary, George Tom	Member, Anday Patrick
Treasurer, Charlie Tommy	

Newtok Planning Group

DCCED, VSW, DOT&PF, COE, Denali Commission, Rural AL CAP, USDA, HUD, DHS&EM, FAA, AVCP, CVRF, EPA, DEED, DNR, EDA, Newtok Traditional Council, AEA, IRTP, Alaska Governor's Office, BIA, Senator Lisa Murkowski's Office, LKSD, YKHC, Newtok Native Corporation

For more information, please contact:

Stanley Tom, Tribal Administrator

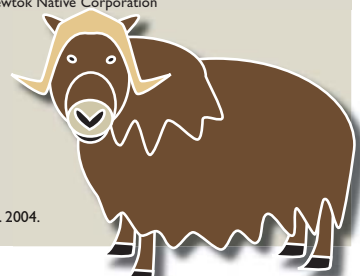
Newtok Traditional Council

PO Box 5545

Newtok, AK 99559-5545

REFERENCE

Newtok Background for Relocation Report. ASCG. 2004.



Water and Sewer Study

A study is needed to determine the type of water and sewer system (pipe versus closed haul or some combination) that will be included in the new village. Village residents would like a piped water and sewer system; however, residents need to make an informed choice. They need a study that shows the potential water and sewer systems as well as the cost for each type of system. This study will allow the villagers to select a system that balances all their needs and does not become a financial burden.

Identify Road Surface and Trail Designs

The CLP identifies the location of the village roads and trails but it does not recommend a surface material. Community residents are interested in a boardwalk system, gravel roads, and a geo-textile surface. Each road surface has different capital and operating costs. The community needs more information about the cost and maintenance requirements for these surfaces in order to make an informed decision about the road surface in the new village. The road from the barge landing to the evacuation center site was built in 2010 using Dura-Base. The use of Dura-Base for all roads should be explored further.

Post Office Design

The post office provides a vital link to the rest of the world and is likely to be one of the first community buildings built at the new site. The USPS has requirements and guidelines for a post office. Newtok should work with USPS so the new post office can be properly sited.

Additional Site Information

Vegetation, steep slopes, and similar factors make several spots unsuitable for development. Additional research should be performed to identify these sites. After these sites have been identified the community layout should be updated to avoid these features.

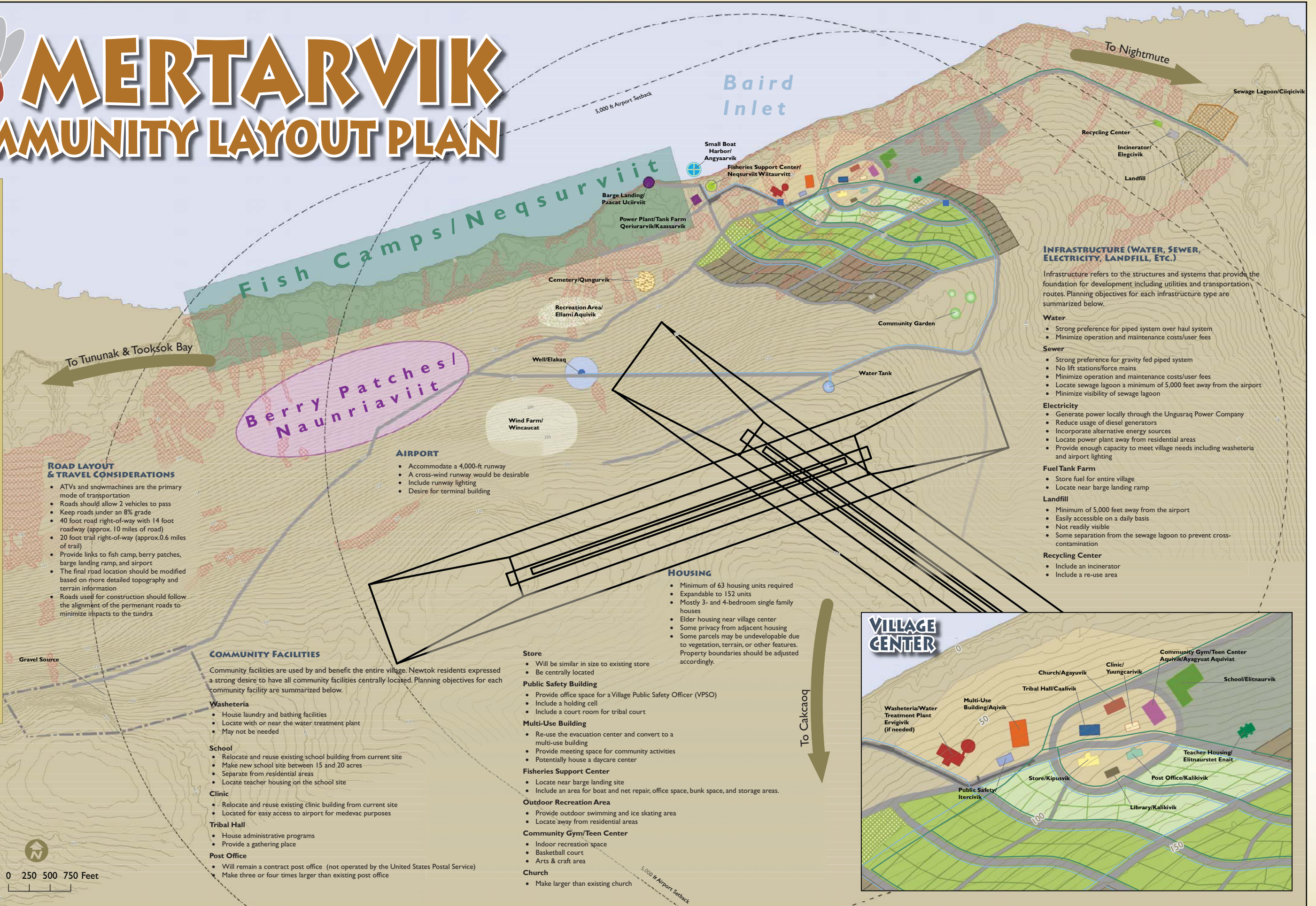


MERTARVIK COMMUNITY LAYOUT PLAN

Legend

- Community Area
- Elder Housing
- Future Housing
- Housing
- Open Space
- School Property
- Airport/Misvik
- Cemetery/Qungurvik
- Barge Landing/ Paacat Uciirviit
- Existing Road
- Planned Road/Trail/ROW
- Wind Farm/Wincaucat
- Incinerator/Elegcivik
- Recreation Area/ Ellami Aquivik
- Gravel Source
- Library/Kalikivik
- Community Garden
- Recycling Center
- Church/Agayuvik
- School/Elitnaurvik
- Post Office/Kalikivik
- Public Safety/Itercivik
- Tribal Hall/Caalivik
- Sewage Lagoon/Ciiqicivik
- Landfill
- Washeteria/ Water Treatment Plant/Ervigivik
- Clinic/Yuungcarivik
- Power Plant/Tank Farm/ Qeriuravik/Kaassarvik
- Community Gym/Teen Center/Aquvik/ Ayagyuat Aquiviat
- Store/Kipusvik
- Teacher Housing/ Elitnaurstet Enait
- Multi-Use Building/Aqivik
- Fisheries Support Center/ Neqsurviit Wiitaurvitt
- Small Boat Harbor/Angyaarvik
- Water Source/Elakaq
- Wellhead Protection Zone
- Berry Patches/Naunriaviit
- Fish Camps/Neqsurviit
- Water Lines
- Sewer Lines
- Pressure Release Valve
- Willow Shrub

Produced by HDR Alaska on Behalf of the Newtok Traditional Council April 2011





NEWTOK TRADITIONAL COUNCIL

P.O. Box 5545
Newtok, Alaska
99559-5545

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RESOLUTION 11 - 30

A Resolution of the Native Village of Newtok's Traditional Council adopting Guiding Principles for the relocation to and development of Mertarvik, the new village site.

WHEREAS: The Native Village of Newtok's Traditional Council, hereinafter called the Council, is the State and Federally recognized governing body of Newtok, Alaska; and,

WHEREAS: The Village of Newtok has been threatened for years by the advance of the Ninglick River due to high rates of erosion of the river bank adjacent to the village; and,

WHEREAS: This progressive erosion is recognized as a serious long-term threat to the existence of the village; and,

WHEREAS: Seasonal flooding from coastal storms has exacerbated this situation. Newtok was included in two federal disaster declarations, DR-1571-AK (2004 Bering Sea Storm) and DR-1618-AK (2005 Fall Sea Storm); and,

WHEREAS: Studies performed by the U.S. Army Corps of Engineers and others have concluded that the village must relocate as there is no permanent and cost-effective alternative to remain at the current village site; and,

WHEREAS: The Newtok Traditional Council, by a vote of the people of Newtok, selected Mertarvik, a site on the northern coast of Nelson Island, located within the Yukon Delta National Wildlife Refuge, as the preferred relocation site for the village of Newtok; and,

WHEREAS: The Newtok Native Corporation entered into negotiations with the U.S. Department of the Interior, Fish and Wildlife Service to exchange Newtok Native Corporation land for the Mertarvik site; and,

WHEREAS: In November 2003, the 108th Congress passed S. 924, allowing the Newtok Native Corporation to receive title to the Mertarvik land in a land exchange with the U.S. Fish and Wildlife Service; and,

WHEREAS: In May 2006, the Council and the Newtok Native Corporation joined with state, federal and regional agencies and organizations to form the Newtok Planning Group to assist with Newtok's relocation effort; and,

WHEREAS: In 2008, the Council and the State of Alaska negotiated a commitment with the U.S. Department of Defense, Innovative Readiness Training Program to provide labor on development projects at Mertarvik; and,

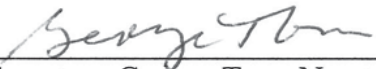
WHEREAS: In 2011, the Council, the Newtok Native Corporation and the agencies and organizations involved in the Newtok Planning Group began working with a contractor to develop a Strategic Management Plan for the relocation of Newtok to Mertarvik.

NOW, THEREFORE, LET IT BE RESOLVED; that the Council hereby adopts the following Maligtaquyarat (Guiding Principles, attached) for all agencies and organizations to follow in working with Newtok on the relocation to Mertarvik. The Maligtaquyarat form the basis of our Strategic Management Plan. It is the Newtok Traditional Council's desire that the relocation of Newtok be defined by our Yup'ik way of life. All proposals for and activities at the new village at Mertarvik must consider, respect, be assessed by, and be carried out according to Newtok's Guiding Principles.

I the undersigned, hereby certify that the Newtok Traditional Council is composed of 7 members, of whom 7 constituting a QUORUM were present and that the foregoing resolution was PASSED AND APPROVED on this 9 day of June, 2011.

Votes: 7 Yeas 0 Nays

Signed: 
Honorable Moses Carl, President, Newtok Traditional Council

Attest: 
Secretary George Tom, Newtok Traditional Council

MALIGTAQUYARAT (Guiding Principles for Mertarvik)

The relocation of Newtok will be defined by our Yup'ik way of life. Our **Guiding Principles** are:

- To remain a distinct, unique community – our own community
- To stay focused on our vision by taking small steps forward each day
- To make decisions openly and as a community and look to elders for guidance
- To build a healthy future for our youth
- Our voice comes first – we have first and final say in making decisions and defining priorities
- To share with and learn from our partners
- No matter how long it takes, we will work together to provide support to our people in both Mertarvik and Newtok
- Development should:
 - Reflect our cultural traditions
 - Nurture our spiritual and physical well-being
 - Respect and enhance the environment
 - Be designed with local input from start to finish
 - Be affordable for our people
 - Hire community members first
 - Use what we have first and use available funds wisely
- To look for projects that build on our talents and strengthen our economy

A-9 Table. Preliminary Relocation Schedule

Schedule*	Year 1		Year 2		Year 3		Year 4		Year 5		Year 6		Notes
Pioneering		Initial pioneer move will be seasonal; pioneering families will live in Mertarik during the summer and winter months and move back to Newtok during fall and spring. Pioneering slated to start Winter 2011 or Summer 2012.											
Waterfront		Waterfront site assessment (est. completion March 2012)										Per Ruth Carter, AK DOT Coastal Engineering	
Evacuation Center	Foundation (slated to be completed 2011)												Per current AK DOT project plans Assume one year delay based on funding setbacks.
			Vertical construction (Best guess: completion in winter 2013)										
Drinking Water, Sewer + Solid Waste	New well near Mertarvik townsite												Per Greg Magee, Village Safe Water
			Pioneering support, piloting of new technologies, + feasibility studies										Per Dave Longtin, Village Safe Water
	Needed waste water + water supply improvements in Newtok (to be completed in 2012)												
New School Construction	Commitment to move												Per Kate McIntyre, LKSD Capital Projects
		Planning											
				Application to legislature									
				Design + Bid									
							Construction (2 years)				Students in classroom		
Clinic	Pursue funding to assess feasibility of moving existing clinic												Current Newtok clinic was built in 2003. More research is needed to determine potential funding sources and timelines.
			Assess feasibility of moving existing clinic										
Housing	Financing and grant development; reclamation of materials in Mertarvik												Assume housing tract continues until need is met. Assume home construction in summer; relocation of existing homes in winter.
	Build new		Build new	Relocate Existing	Build new	Relocate Existing	Build new	Relocate existing	Build new	Relocate existing	Build new	Relocate existing	
Energy	Pursue funding												More research is needed to determine potential funding sources and timelines.
Airport	Feasibility study (completed 2011)												Timeline assumes FAA approval, resolution of energy source/EIS issues, and no significant construction delays; per Judy Chapman, ADOT+PF.
			Environmental Assessment (2.5 years)										
							Runway Construction (2 years, could be completed by fall 2015)				Runway in service		
						Road to Airport Construction							
	Emergency float plane service from Bethel												
					Pioneer runway construction								Need to establish agreement with float plane service.
													Newtok to determine whether a pioneer runway is a priority and investigate prospects.
Community Serve Center (formerly known as Fishery Support Center)	Needs assessment, design selection, and planning												Per Neil Rodriguez, Project Manager Coastal Villages Region Fund, funding slated for construction of a Serve Center in Mertarvik; more information needed to gauge timelines. A number of design options are available. Typically construction occurs within one season.
			Serve Center Construction										
Mail service	Requires at least 25 families and 75 residents plus regularly scheduled public transportation to the community												
	Year 1		Year 2		Year 3		Year 4		Year 5		Year 6		

Green = tentative dates.

Blue = anticipated time line once project is under way.

*Possible time frames for Mertarvik relocation by bodies of work. Work in progress is depicted in green and shows best-guess time estimates assuming year 1 is 2011. Work not yet underway is depicted in blue and assumes year 1 can start at any point in the future. Schedule for work not yet underway is based on agency/funder-informed guidance for similar projects. A number of work streams are not yet included (such as roads). Schedule will be refined and added to during Phase 2.



Relocation Report :: Newtok to Mertarvik

FINAL DRAFT ISSUED FOR REVIEW August 2011
by the Community of Newtok and the Newtok Planning Group,
Prepared by Agnew::Beck Consulting with PDC Engineers and USKH Inc.



January 30, 2012

Dear Partners and Friends of Newtok,

Over the past year, our project team has worked with the community of Newtok and their partners to develop the Mertarvik Strategic Management Plan. The Mertarvik Strategic Management Plan defines the vision, long-term goals and priority actions for relocating the village of Newtok, and provides the community's guiding principles for all activities at Mertarvik. Now, we need your feedback.

Please read and review the draft plan and let us know: Did we get it right? How can we improve the plan? What are we missing? We value your input and need your recommendations. Please submit comments and feedback to Shelly Wade at shelly@agnewbeck.com by **February 13th, 2012**. Your suggestions will be reviewed with the project management team and the community and incorporated into a final version to be distributed to the Newtok Planning Group in late February 2012.

We look forward to hearing from you,

Shelly Wade, Chris Beck, and Heidi Wailand

Agnew::Beck Consulting

Strategic Management Plan

Newtok to Mertarvik

January 2012



**Nunaullemteggun ikayuqulluta tamamta,
assirluta aknirtenritellerkamtenun,
nuggtarllemtenun ciunerkamteni**

*a community that builds together
for the safe and healthy future of Newtok*

STRATEGIC MANAGEMENT PLAN :: Newtok to Mertarvik DRAFT ISSUED FOR REVIEW

by the Community of Newtok and the Newtok Planning Group, January 2012.

Prepared for the State of Alaska Department of Commerce, Community, and Economic Development (DCCED)
Division of Community and Regional Affairs by Agnew::Beck Consulting.

This report is funded with qualified outer continental shelf oil and gas revenues by the Coastal Impact Assistance Program, U.S. Fish and Wildlife Service, U.S. Department of the Interior.

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George Tom, President, Newtok Native Corporation

Greg Magee, Program Manager, Village Safe Water Program, Alaska Department of Environmental Conservation

**With special acknowledgement to
the Newtok Community and the Newtok Planning Group**



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Newtok Traditional Council

Newtok Native Corporation

PDC Engineering

Participants of the October 21, 2011 Newtok Planning Group Meeting

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USKH, Inc.



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Cover photo of Mertarvik site by Agnew::Beck Consulting. Photo of Newtok children by PDC Engineers.
Report photos by Agnew::Beck Consulting, or PDC Engineers unless otherwise noted.

A Message from the Community

January 26, 2012

Not that long ago the water was far from our village and could not be easily seen from our homes. Today the weather is changing and is slowly taking away our village. Our boardwalks are warped, some of our buildings tilt, the land is sinking and falling away, and the water is close to our homes. The infrastructure that supports our village is compromised and affecting the health and well-being of our community members, especially our children. Our children should not know the governmental term “disaster declaration.”

We saw the changes coming, we consulted our elders, and we have taken steps to move to safer land. We are a community and we will not be separated – we will stay together, we will move together. By a vote of the people we selected Mertarvik as the place for our new village and we worked for many years to secure the land. Mertarvik is a place that we know well as we frequently stop there for fresh water before hunting and fishing trips. It is on higher ground and it will provide us with a safe site on which to build our new village.

Over five and a half years ago we joined with the State of Alaska and federal and regional agencies to create the Newtok Planning Group. This joint effort was new and has been lead by Maligtaquyarat, our Guiding Principles, as it is our desire that our relocation be defined by our Yup’ik way of life. We have had the great benefit of working with dedicated, thoughtful partners. We believe these years have seen significant progress and this Plan is an indicator of that progress.

As we look to the future we are dedicated to the hard work of moving our community. We are strong people and are used to hard work. It has taken years of partnership to get to this point, but we also know that the water is getting closer and time is running out. With this Plan, we now look for assistance for funding and partners to help us with our efforts to turn this Plan to action and to make our move to safer land a reality.

We have taken instruction from our elders, who are our advisors and our greatest resource. With their guidance, and with the assistance of our dedicated partners, we have worked to move our village. We owe it to our elders to provide them with a life where they can focus on community and our culture and not worry about the water coming into the village. We owe it to children to provide them with a life where they do not worry about illness or the coming of the next storm. We will all sleep better when we know that our elders and children are safe.

Thank you so much for helping us move our village.

Moses Carl

President

Newtok Traditional Council

George Tom

President

Newtok Native Corporation

Introduction

Newtok is a growing Yup'ik Eskimo village located on the Yukon-Kuskokwim Delta along the western coast of Alaska, near the confluence of the Newtok and Ninglick Rivers. As detailed in the accompanying *Relocation Report::Newtok to Mertarvik (August 2011)*,¹ the community's health and safety are currently threatened by severe coastal erosion and flooding. The Ninglick River, which is tidally influenced and connects Baird Inlet to the Bering Sea, is eroding toward the village at an average pace of 72 feet per year (with an observed rate of up to 300 feet in one year) and has been moving toward the village for decades. Erosion projections (last updated in 2007) indicate that the river could reach the school by 2017.¹



The community's health and safety are currently threatened by severe coastal erosion and flooding.

Although the fast pace of erosion is alarming, it was the capture of the Newtok River by the Ninglick River in 1996 that has had the most dramatic impact on livability of the current village. Nearly overnight, the village became more susceptible to storm surges on the Ninglick River due to the direct hydrologic connection. The Newtok River, which runs alongside the village, turned from a free flowing river into a slough. When the slough silted in, commercial vessels could no longer navigate to the village and honey bucket waste no longer flowed out. These changes, which are likely exacerbated by climate change and thawing permafrost, have increased the frequency and severity of flooding in Newtok during the last decade.

A powerful storm surge can raise tide levels 10 to 15 feet above normal. Severe flood events, such as the 20-year flood of 2005 and the lesser flood of 2006, permeate the village water supply, spread contaminated waters through the community, displace residents from homes, destroy subsistence food storage, and shut down essential utilities. The U.S. Army Corps of Engineers (USACE) predicts that the 50-year flood would flood almost the entire community. Staying in place is not an option for Newtok. On November 8th of this year, the National Oceanic and Atmospheric Administration (NOAA) issued a severe storm warning for the western coast of Alaska. The posting concluded with "This will be an extremely dangerous and life threatening storm of epic magnitude rarely experienced" – a powerful reminder of Newtok's vulnerability.



Erosion projections (last updated in 2007) indicate that the river could reach the school by 2017.

In early 2006, the Newtok Traditional Council requested assistance from the DCCED Division of Community and Regional Affairs (DCRA). Despite the community's acquisition

¹ The Relocation Report includes citations for the background information summarized within this section.

of a suitable new village site, Newtok was facing resistance with its relocation effort, the threat of erosion was ever present, and no state or federal agency was authorized to relocate an Alaskan community. DCCED is directed through two State of Alaska Administrative

Orders² to “to act as the state coordinating agency to coordinate with other state and federal agencies to propose long-term solutions to the ongoing erosion issues in ... affected coastal communities in this state.” In order to carry out this coordination for Newtok, the Newtok Planning Group (NPG) was formed.

Under this backdrop, the community and their NPG partners have made significant progress laying the groundwork for the future townsite, Mertarvik. Amongst the progress, the NPG saw the need to develop a strategic management plan for the relocation and, in doing so, set clear direction and priorities for relocating Newtok to Mertarvik. DCCED secured a grant for the SMP through the federal Coastal Impact Assistance Program and in January 2011, awarded a contract for the project. This document represents the culmination of a year-long effort that included two community-wide meetings in Newtok, two Newtok Traditional Council meetings in Newtok, a site visit to Mertarvik, three Newtok Planning Group meetings, more than forty-five stakeholder and potential funder interviews, and numerous planning sessions.

Residents discuss the phases of relocation and what life might be like in Mertarvik and Newtok as the community moves.



2 State of Alaska Administrative Orders 231 and 239

Why Develop a Strategic Management Plan?

The goal of the Mertarvik Strategic Management Plan is to set a common vision for relocating the village of Newtok through a plan that provides guidance to all activities at Mertarvik, with a focus on priority actions during the next three years. The reasons for producing a strategic management plan are many:

Develop a Collective Vision

With growing concerns and urgency to relocate before Newtok is destroyed by erosion, it is important to focus the resources of the community and supporting partnerships behind a common vision and common set of priorities.

Establishing a Framework for Other Plans

The strategic management plan acts like an “umbrella document” for relocation activities. All other plans, policies and strategies will support the strategic management plan and take direction from it.

Communicating the Strategic Plan

Strategic planning establishes and communicates the community vision, guiding principles and strategic actions in a positive and practical way to everyone in the community, government agencies, and other organizations.

Entering Into Effective Partnerships

A strategic management plan provides the basis for strengthening existing and building new partnerships with different levels of government, as well as other partners and funders. These partnerships are essential to achieving the strategic actions of the plan.

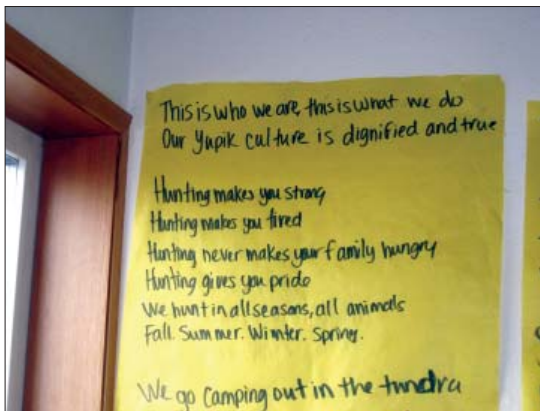


Mission Statement + *Maligtaquyarat* (Guiding Principles) for Mertarvik

Mission

The mission of the Mertarvik Relocation is to create a safe and self-sustainable village for this and future generations that's built by and governed by our own people working together as a tribe and people unified by our history, cultural traditions and language.

The guiding principles for the relocation draw upon the community's heritage and values. This poster hangs in the Newtok school.



Maligtaquyarat (Guiding Principles)

On June 9, 2011, the Newtok Traditional Council unanimously passed and approved a set of guiding principles for the community's relocation to Mertarvik (*Newtok Traditional Council Resolution 11-30*). It is the hope and intent of the Newtok Traditional Council that all community residents and partners working toward the relocation will respect and promote these guiding principles.

The relocation of Newtok will be defined by our Yup'ik way of life. Our Guiding Principles are:

- Remain a distinct, unique community – our own community.
- Stay focused on our vision by taking small steps forward each day.
- Make decisions openly and as a community and look to elders for guidance.
- Build a healthy future for our youth.
- Our voice comes first – we have first and final say in making decisions and defining priorities.
- Share with and learn from our partners.
- No matter how long it takes, we will work together to provide support to our people in both Mertarvik and Newtok.
- Development should:
 - Reflect our cultural traditions.
 - Nurture our spiritual and physical well-being.
 - Respect and enhance the environment.
 - Be designed with local input from start to finish.
 - Be affordable for our people.
 - Hire community members first.
 - Use what we have first and use available funds wisely.
- Look for projects that build on our talents and strengthen our economy.

Vision: Relocation Plan to Mertarvik

“One thing that we gain from pioneering is continuing and honoring our values. If we rely on the western society’s way of life, that’s forgetting who I am. We need to go back to our way of life. We have to start somewhere.”

– Newtok Traditional Council Member

The Mertarvik Relocation Plan (Figure A, page 7) defines the long-term vision and goals for relocating Newtok. The Plan delineates four phases: the Getting Ready Phase, the Pioneering Phase, the Transition Phase, which includes early and late stages, and the Final Move Phase. These phases are driven by population levels at Mertarvik. The Relocation Plan also organizes work into nine strategic focus areas (site preparation; transportation; housing; drinking water, sewer, and solid waste; health and safety; communications; education; energy; and community resources) and sets clear goals by phase for each area. Priority strategic focus areas are discussed in detail in the next section while a description of each of the relocation phases is included below.

Phase 1: Uplluteng (Getting Ready)

Population 0

The getting ready phase refers to the current phase of development. The groundwork is being laid for future phases. This phase includes activities and infrastructure such as selecting the site, developing the quarry, drilling two drinking water wells, completing a Community Layout Plan and a Strategic Management Plan, conducting a harbor feasibility study, creating a topographic map to facilitate surveying, and building a barge landing, initial houses, pioneer roads, and the foundation of the Mertarvik Evacuation Center (MEC). Efforts in this phase are now well established.

Phase 2: Upagluteng (Pioneering)

Population ~25 to 100 People

Upagluteng refers to the traditional practice of moving with the seasons. The icons in this phase of the Relocation Plan depict what life might be like for the first residents living at Mertarvik. Self-haul water, honey buckets, wood stoves, and individual house generators, correspondence and home schooling, and VHF radio are some of the likely characteristics defining early life in Mertarvik. New technologies for waste water treatment and alternative energies might be piloted during this phase. For safety, residents will likely move back to Newtok during the spring and fall when movement back and forth to Newtok would be too risky.

Phase 3: Nass'paluteng (Transition)

Population ~100 People or More

In Yup'ik, Nass'paluteng refers to periods of transition. During the transition phase, more and more community members will make the move to Mertarvik. Early in this phase, a health aide and teacher(s) might be in place to provide health care and education. The MEC will be completed and serve as a multi-functional community facility. A pioneer runway may be completed and larger-scale demonstration projects might test promising technologies as agencies explore sustainable solutions for basic services. As the population grows, reaching 200 or more, community systems that can later be scaled to meet the entire community's needs should be agreed upon and established for water, wastewater, energy, and communications. An airport, a landfill, a small school, a store, and community greenhouse might be set in place during this phase as well.



Phase 4: Piciurlluni (Final Move)

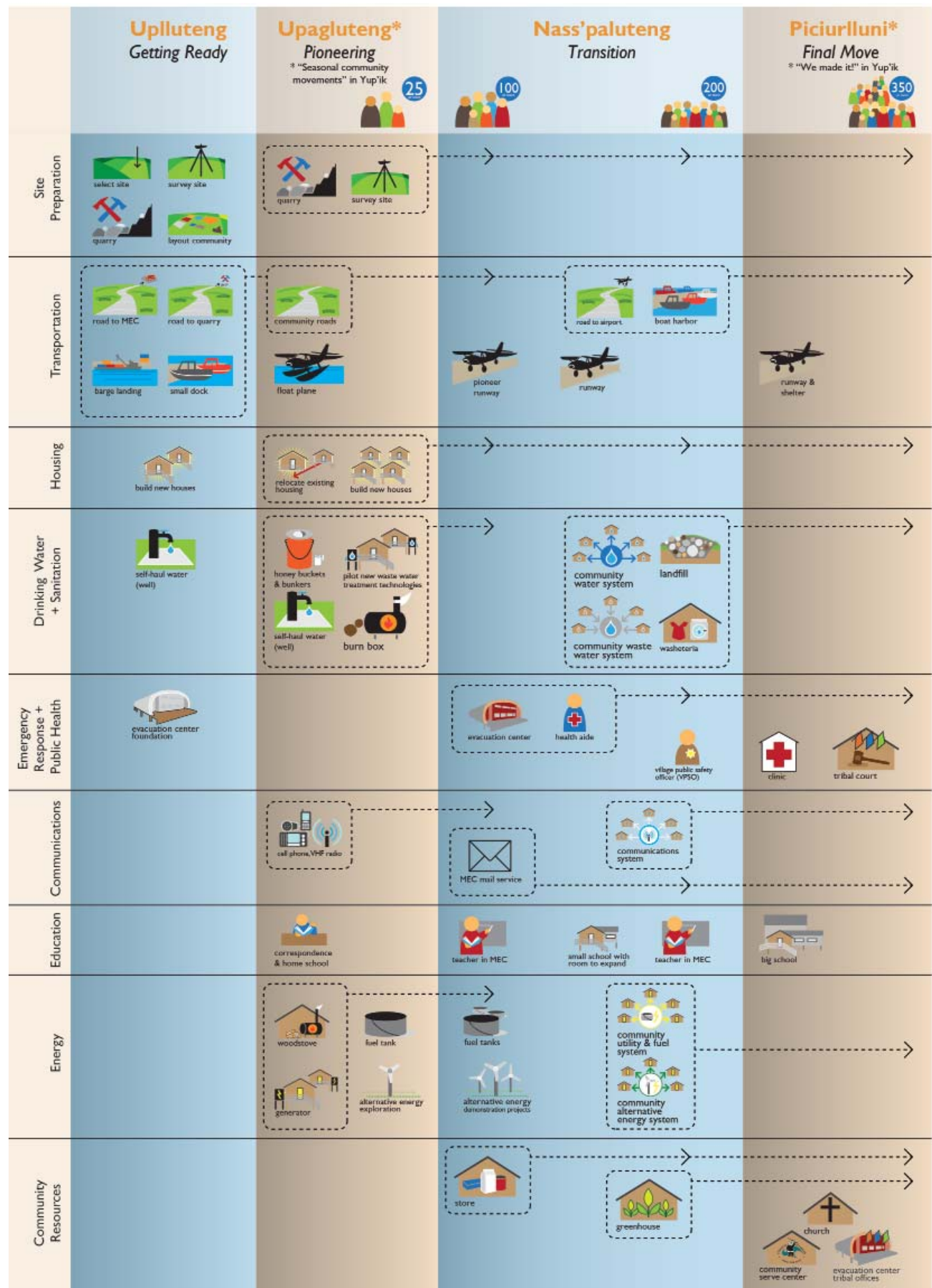
Population 350 People or More

Piciurlluni means “We made it!” in Yup'ik. This stage represents the final move of all Newtok residents to the new town site. The systems developed during the transition phase are scaled to accommodate more people and more houses. Additional community facility projects, such as a large school, a clinic, and a tribal court, are completed.



Photos by Carolyn George.

Figure A. Mertarvik Relocation Plan (Vision + Long-Term Goals)



Priority Strategic Focus Areas: A Three-Year Action Plan

This section includes a discussion of “priority strategic focus areas” and lays out key actions that should be undertaken by the community and their partners over the next three years. These key actions, which take place during the Pioneering phase, are critical to the successful implementation of the overall Relocation Plan that will take place over the next ten to fifteen years. The intent of the key actions is to jump-start progress in the priority focus areas, which in turn will trigger additional opportunities and investment for the relocation effort.

The “priority” label indicates the importance of these particular tracks to the relocation as a whole, but especially during the Pioneering phase. **Priority focus areas include:**

- site preparation
- building capacity
- emergency response and public health
- housing
- drinking water and sanitation
- Newtok closure and restoration.

The remaining focus areas:

- transportation
- education
- energy
- communications

These are not discussed in detail in this document but are referred to more generally in the Relocation Plan (Figure A, page 7). As the community makes progress on current priorities, they will update the SMP to include priority actions for the remaining focus areas. The result is a three-year action plan that draws from interviews and input from the community and a wide range of stakeholders.



Photos by Carolyn George.

Focus Area: Site Preparation

Surveying, Site Control, Planning, Quarry Development

OBJECTIVES (three-year target)

- Develop a village plan that sets a practical framework for guiding near-term locations of housing, community buildings and infrastructure, but is flexible enough to allow for incremental, organic growth.
- Survey parcels to provide for clearly defined ownership and/or rights to use designated lots.
- Secure material and equipment for essential pioneering site preparation.

-
1. Fund and prepare refined townsite plan.
 2. Secure funding for and complete townsite survey.
 3. Clarify land ownership goals and develop a process for land transfer, both between Newtok Native Corporation and Newtok Tribe, and between these entities and individual users as appropriate.
 4. Determine method for assigning housing lots to individuals.
 5. Establish basic development rules for placing homes on lots.
 6. Secure materials for and construct essential pioneering roads.
 7. Continue developing the quarry resource.

**PRIORITY
ACTIONS**
(over the
next three
years)

Focus Area: Building Capacity

Community-Agency Partnership Structure, Workforce Development, Quarry Development and MCDC

“I have confidence about this early move. When I was one or two years old, my family moved. I focus on the time when people decided to move..I believe our sovereign way of life will be stronger – we can start a new life, a new village. We shouldn’t wait. We need to encourage ourselves to do it ourselves. The elders have said we need to change ourselves; we need to start a new beginning and new life. Leave hate and anger and put it away and start a new life.”

– Newtok Traditional Council Member

OBJECTIVES (three-year target)

- Build partnership capacity to drive the relocation process (with and without external funding).
- Expand organizational capacity of the Mertarvik Community Development Corporation (MCDC).
- Develop a skilled workforce that can pursue, construct, and maintain infrastructure at Mertarvik and help close and restore Newtok.

-
1. Identify partnership team to implement SMP.
 2. Determine role of MCDC and ramp up key functions required to support relocation efforts.
 3. Assess existing local workforce skills and identify skills needed to complete upcoming projects; create a training plan to address labor needs and fill strategic skill sets.
 4. Investigate feasibility of selling gravel and rock from quarry to region and invest profits in Mertarvik development.
 5. Build relationships with foundations – establish connections and be able to tell the story.

**PRIORITY
ACTIONS**
(over the
next three
years)



A top priority for the project team was working with the community to develop a shared vision and framework for the relocation. Residents leave the school after an evening meeting.

Focus Area: Emergency Response + Public Health

Completion of Mertarvik Evacuation Center; Pioneer and Long-term Health and Safety

OBJECTIVES (three-year target)

- Provide a safe place for Newtok residents during a storm or flooding event.
- Create and implement a plan to protect health and safety of pioneers.
- Begin to plan for long-term health care needs and facilities.

PRIORITY ACTIONS (over the next three years)

1. Determine whether current design will be used for MEC and, if not, engage in a redesign process.
2. Hire a construction project manager for MEC; train and secure local labor for 2012 construction season.
3. Develop and implement funding strategy for remaining MEC construction needs.
4. Identify trained health professional as a pioneer; secure space to act as housing and a place to practice temporarily, until MEC is constructed.
5. Complete MEC vertical wall construction.
6. Identify funding for assessing the feasibility of moving the existing clinic and begin developing long-term plan based on findings.

Focus Area: Housing

Assessment and Relocation of Existing Housing; Design, Funding and Construction of New Housing

OBJECTIVES (three-year target)

- Develop a financing and construction strategy for meeting the community's housing need.
- Assemble materials, resources and knowledge – from construction training to outside funding – so residents can move to Mertarvik.

1. Complete tasks outlined in Site Preparation.
2. Conduct housing survey to assess conditions in Newtok and determine specific needs in Mertarvik.
3. Develop a housing strategy.
4. Relocate Newtok houses.
5. Implement housing programs / continue to build new homes.

**PRIORITY
ACTIONS**
(over the
next three
years)



Initial homes at Mertarvik will house pioneering families.

Focus Area: Drinking Water + Sanitation

Feasibility Study - Analyze and Select Alternatives for Phases 2, 3, and 4 and Secure Funding for Design and Construction

OBJECTIVES (three-year target)

- Prepare a feasibility or preliminary engineering study to evaluate options for meeting the drinking water and sanitation (wastewater and solid waste) needs of Phases 2, 3, and 4 of the relocation plan.
- Secure funding to design the recommended alternatives for Phases 2, 3, and 4.
- Secure funding for the construction of the Phase 2 improvements.
- Construct the water and sewer infrastructure for Phase 2.

PRIORITY ACTIONS (over the next three years)

1. Develop practical and affordable solutions that will provide the drinking water and sanitation facilities for the Mertarvik pioneers (Phase 2).
2. Work closely with Village Safe Water to identify and evaluate alternatives for either a centralized or decentralize water and wastewater system, including moving any of the existing infrastructure to Mertarvik.
3. Ensure that the alternative or alternatives selected for Phases 3 and 4 are sustainable by developing a business plan.
4. Seek and obtain funding for design of Phase 2, 3, and 4 and for construction of Phase 3.

Focus Area: Newtok Closure + Restoration

Health and Safety of Residents; Restoration of Land

“(The community) would like to say a graceful goodbye to Newtok; we won’t be able to see the old village but we will return to some of the same areas for subsistence activities.”

– Stanley Tom, Newtok Traditional Council, Tribal Administrator

OBJECTIVES (three-year target)

- Ensure health and safety of Newtok residents.
- Develop a clear plan for the eventual closure and restoration of Newtok.
- Develop support mechanisms for pioneer families.



Cleanup and restoration of the current village site is an important priority.

“I use[d] to volunteer [to] cleanup our village and it made a big difference. I hope the whole community will help each other and make a big, big difference. I know when the whole community help[s] each other the time will go fast.”






– Community Member in Letter
Shared with Agnew::Beck

PRIORITY ACTIONS (over the next three years)

1. Practice emergency plan including the instant command system.
2. Inventory village resources that can be used at the new village site.
3. Develop preliminary, mid- and long-term plans for closing and restoring the village.
4. Identify immediate support activities for pioneer families and assign tasks that community members can conduct in Newtok.



Mertarvik Three-Year Action Plan + Proposed Schedule

		2012		2013		2014	
Priority Strategic Focus Areas		winter/ spring	summer/ fall	winter/ spring	summer/ fall	winter/ spring	summer/ fall
Site Preparation							
	1. Fund, develop townsite plan						
	2. Fund, carry out survey						
	3. Resolve land ownership questions						
	4. Determine lot allocation strategy						
	5. Construct pioneer roads						
	6. Establish basic housing development rules						
	7. Develop quarry resource						
Building Capacity							
	1. Identify partnership team						
	2. Define MCDC role, strengthen functions						
	3. Assess needed skills, create training plan						
	4. Assess regional demand for rock/gravel						
	5. Build relationships with foundations						
Emergency Response + Public Health							
	1. Redesign MEC if necessary						
	2. Hire MEC construction manager and crew						
	3. Develop, implement MEC funding strategy						
	4. Identify health professional pioneer						
	5. Complete MEC vertical construction						
	6. Develop long-term plan for clinic						
Housing							
	1. Complete site preparation tasks						
	2. Conduct housing survey						
	3. Develop a housing strategy						
	4. Relocate houses						
	5. Implement housing programs						
Drinking Water+ Sanitation							
	1. Identify practical system alternatives						
	2. Evaluate + select alternatives						
	3. Develop business plan						
	4. Pursue funding for design + construction						
Newtok Closure + Restoration							
1. Practice emergency plan							
2. Inventory resources useable at Mertarvik							
3. Develop preliminary plans for closing village							
4. Identify activities for supporting pioneers							

 = project initiation  = project maintenance

Permitting + Why It's Important

During the development of the Strategic Management Plan, the following main messages regarding permitting of Mertarvik projects were as follows:

- It is possible that an Environmental Assessment (EA) will suffice for any projects requiring National Environmental Policy Act (NEPA) documentation. If the case can be made that the relocation is initiated by the community and not driven by or dependent upon federally-funded projects, it will be less likely that a federal agency will be required to address the impact of the full relocation in its permitting process.
- As the relocation progresses, opportunities may arise for agencies to pool EA efforts to help conserve limited project resources. For example, the United States Army Corps of Engineers (USACE) can provide about half of the funding for assessments through their Planning Assistance to States Program. Partnering with USACE, one or more agencies would lead the EA process, and individually or jointly take necessary next steps.
- NEPA compliance is only part of the permitting puzzle. The community and their partners must ensure appropriate permits are in place for all projects at Mertarvik. Table C (next page) highlights some, but not all, of the recommended consultations, required permits and clearances by agency and funding type (federal, state and federal, and private).



Photo by Carolyn George.

Table C. Permitting considerations for Newtok relocation projects.

Agency	Permit/Clearance/ Consultation	When to initiate	Approximate timeline to acquire permit/clearance
Federally Funded Projects			
NEPA Decision Document	Environmental Assessment (EA)	Upon receipt of funding*	1 year
	Environmental Impact Statement (EIS)	Upon finding of significance during EA process, unless funding agency decides to start with an EIS class of action.	3-5 years
State Historic Preservation Office (SHPO)/Tribes	Initiation of Consultation	Once a set of Alternatives are selected	30 days
	Finding of No Historic Properties Affected	After selection of a preferred alternative	30-120 days
State and Federally Funded Projects			
United States Fish and Wildlife Service (USFWS)	Section 7 Consultation for Threatened and Endangered (T&E) Species	Once a set of alternatives are selected	30-120 days+
	Consultation regarding clearing windows for nesting birds and emperor geese	For any proposed ground disturbing activities	14-30 days
National Marine Fisheries Service (NMFS)	Consultation for Essential Fish Habitat	For any in-water work	14-30 days
Alaska Department of Fish and Game (ADF&G)	Title 16 Fish Habitat permit	For any work within Takikchak Creek	30-90 days
United States Army Corps of Engineers (USACE)	404 Wetlands permit	After a selection of a preferred alternative	120 days
Alaska Department of Environmental Conservation (ADEC)	Alaska Pollutant Discharge Elimination System (APDES) Construction General Permit	1 month prior to construction, once 100% construction documents are complete	30 days**
Privately Funded Projects			
ADF&G	Title 16 Fish Habitat permit	For any work within Takikchak Creek	30-90 days
USACE	404 Wetlands permit, Individual or Nationwide Permit	For any work placing fill within wetlands	120 days
USFWS	Consultation regarding clearing windows for nesting birds and emperor geese	For any proposed ground disturbing activities	14-30 days
ADEC	APDES Construction General Permit	For any work that is part of a development plan with greater than 1 acre of disturbance. 1 month prior to construction, once 100% construction documents are complete	30 days**

*Initiation of NEPA document will begin with informal agency scoping and data gathering. The NEPA process will continue throughout the entire project until a decision document (Finding of No Significant Impact (FONSI) or Record of Decision (ROD)) is obtained.

+Length of consultation will depend on determination of affect by regulatory agency.

** Includes review and approval of SWPPP, pre construction site visit, and submittal of notice of intent.

Next Steps

The next steps for the community are steps that will implement the SMP and move the community forward behind a common vision, strategies, and priority actions over the next three years.

- Bring the SMP “to life” by using the document as a communication tool to gain the support and the funding assistance needed to implement the strategic initiatives in the SMP.
- Solicit formal support for the SMP from existing partnerships and develop additional support from potential partners.
- Establish protocol for ongoing monitoring of the SMP. The SMP is a living document and a process to guide the community with relocation. The community and their partners should assess progress and update the plan at least annually. The update should report the progress made and highlight new challenges and issues, including the remaining focus areas not addressed in this version of the SMP.
- Initiate the Three-Year Action Plan and embrace its direction and priorities.

Communicating the SMP is a key next step in gaining the commitment and financial support from existing partnerships and developing further support from other potential partners.



Photo by Carolyn George.



Strategic Management Plan :: Newtok to Mertarvik

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